

15. Characterize the *locally compact* property of a space  $S$  in terms of its compactification  $\alpha S$  of  $S$ .
  16. Suppose  $T$  is a non-empty subset of a completely regular space  $S$ . What property must  $T$  satisfy in relation to  $S$  if  $\text{cl}_{\beta S} T = \beta T$ ?
  17. We know the cardinalities  $|\mathbb{N}| = |\mathbb{Q}| = \aleph_0$  and  $|\mathbb{R}| = c$ . What are the cardinalities of  $\beta\mathbb{N}$ ,  $\beta\mathbb{Q}$  and  $\beta\mathbb{R}$ ?
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## 22 / Singular sets and singular compactifications.

**Abstract.** *In this chapter, we introduce an alternate method to construct a compactification of a locally compact Hausdorff space. We define the notion of the singular set,  $S(f)$ , of a function,  $f : S \rightarrow T$ . We will show that we can always use  $S(f)$  to construct a compactification,  $\alpha S = S \cup S(f)$ , by applying a suitable topology on  $\alpha S$ . If the singular set,  $S(f)$ , contains the image,  $f[S]$ , of  $f$ , we refer to  $f$  as a singular map. When  $f$  is singular the resulting compactification,  $S \cup_f S(f)$ , is called a singular compactification.*

### 22.1 Singular sets and functions: definitions.

We begin by formally defining the “singular set” of a continuous function on a locally compact non-compact Hausdorff space. We also define what is meant when a function is referred to as being a “singular map”.

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**Definition 22.1** Let  $(S, \tau)$  be a locally compact non-compact Hausdorff topological space and  $f : S \rightarrow T$  be a continuous function mapping  $S$  into some compact space  $T$ . We define the *singular set*,  $S(f)$ , of  $f$  as follows:

$$S(f) = \{x \in \text{cl}_T f[S] : \text{cl}_S f^{-1}[U] \text{ is not compact } \forall T\text{-open nbhd, } U, \text{ of } x\}$$

If  $S(f) = \text{cl}_T f[S]$ , then  $f : S \rightarrow T$  is said to be a *singular function* or *singular map*.

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We make a few observation about the singular set  $S(f)$  of a function  $f$ .

1. *If  $S$  is a non-compact locally compact Hausdorff space, the singular set  $S(f)$  is never empty in the compact codomain,  $T$ . This is so, whether  $f$  is a singular map or not. To see this, recall that, by Theorem 21.5,  $f : S \rightarrow T$ , extends to  $f^{\beta(T)} : \beta S \rightarrow T$ . Suppose  $u \in \beta S \setminus S$  and*

$$x = f^{\beta(T)}(u) \in f^{\beta(T)}[\beta S \setminus S]$$

If  $U$  is an open neighborhood of  $x$  in  $T$ , then  $u \in f^{\beta(T)^{-1}}[U]$  is open in  $\beta S$ . If  $\text{cl}_S f^{-1}[U]$  is a compact subset of  $S$ , then  $f^{\beta(T)^{-1}}[U] \setminus \text{cl}_S f^{-1}[U]$  is an open neighborhood of  $u$  contained in  $\beta S \setminus S$ , a contradiction. So  $\text{cl}_S f^{-1}[U]$  is not compact. By definition,  $x \in S(f)$ . So  $S(f)$  is non-empty.

2. The singular set  $S(f)$  is always closed, and hence compact, in  $T$ . This is so whether  $f$  is a singular map or not. To see this, suppose  $x \in T \setminus S(f)$ . Then there exists an open neighborhood  $U$  of  $x$  in  $T$ , such that  $\text{cl}_S f^{-1}[U]$  is compact in  $S$ . Then every point  $p \in U$  also belongs to  $T \setminus S(f)$ . So  $x \in U \subseteq T \setminus S(f)$ . Hence  $S(f)$  is a closed (and so is a compact) subset of the compact space  $T$ .
3. If  $f : S \rightarrow T$  is a *singular map*, then  $f[S]$  is a dense subset of  $S(f)$  (since, by definition of singular function,  $S(f) = \text{cl}_T f[S]$ ).

## 22.2 Singular compactifications: Definitions.

We now show how the singular set,  $S(f)$ , of a function,  $f : S \rightarrow T$ , can be used as the outgrowth of a compactification of  $S$ .

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**Definition 22.2** Let  $(S, \tau)$  be a locally compact non-compact Hausdorff topological space and  $f : S \rightarrow T$  be a continuous function mapping  $S$  into a compact space  $T$ . Then  $S(f)$  denotes its singular set. If  $f$  is a singular map, then, by definition,  $S(f) = \text{cl}_T f[S] \subseteq T$ . We construct a new set by adjoining  $S(f)$  to  $S$  to obtain a larger set,

$$\gamma S = S \cup_f S(f)$$

The basic open neighborhoods,  $\mathcal{B}_1$ , of points in  $S$  will be the same as the ones in  $S$  when viewed as a topological space on its own. The locally compact property guarantees that  $S$  is open in  $\gamma S$ .<sup>1</sup> If  $x \in S(f)$ ,  $F$  is a compact subset of  $S$  and  $U$  an open neighborhood of  $x$  in  $S(f)$ , we define

$$B_x = U \cup f^{-1}[U] \setminus F$$

as a basic open neighborhood of  $x$ . Let  $\mathcal{B}_2 = \{B_x : x \in S(f)\}$ . Let  $\mathcal{B} = \mathcal{B}_1 \cup \mathcal{B}_2$ . This defines a base for a topology on  $\gamma S$  which is easily seen to be a Hausdorff compactification of  $S$ . We will refer to  $\gamma S$  as the *singular compactification* induced by the singular map  $f : S \rightarrow T$ .

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<sup>1</sup>Remember that, if  $S$  is locally compact Hausdorff, then  $S$  is open in any compactification of  $S$ .

*A few remarks.*

*On compactness of  $S \cup_f S(f)$ .* We first confirm that  $S \cup_f S(f)$  is indeed a compact set. Let  $\mathcal{U}$  be an open cover of  $S \cup_f S(f)$  with elements of  $\mathcal{B}$ . Let  $\mathcal{U}_1$  be a subset of  $\mathcal{U}$  which covers  $S(f)$ . Since  $S(f)$  is compact,  $\mathcal{U}_1$  contains a finite subcover  $\{U_i \cup f^{-1}[U_i] : i \in F\}$  of  $S(f)$ . Since  $f$  is a singular map,  $f[S] \subseteq S(f)$ ; then  $\cup\{f^{-1}[U_i] : i \in F\}$  covers  $S$ . So  $\mathcal{U}_1$  is a finite subcover of  $S \cup_f S(f)$ . So  $S \cup_f S(f)$  is indeed compact, as claimed.

*On locally compact property of  $S$ .* Secondly, note that, the locally compact property on  $S$ , will guarantee that  $\alpha S = S \cup_f S(f)$  is a Hausdorff compactification which densely contains  $S$  as an open subspace. To see this, given distinct points  $x$  and  $y$  in  $S(f)$  and distinct open neighborhoods,  $U$  and  $V$  of  $x$  and  $y$  in  $S(f)$ ,  $U \cup f^{-1}[U]$  and  $V \cup_f f^{-1}[V]$  form disjoint open neighborhoods of  $x$  and  $y$  in  $S \cup_f S(f)$ .

But what if  $x \in S(f)$  and  $y \in S$ ? The locally compact property guarantees that  $y$  has a compact neighborhood,  $\text{cl}_S V$  in  $S$ , such that  $(U \cup f^{-1}[U] \setminus \text{cl}_S V) \cap \text{cl}_S V = \emptyset$ . Without local compactness of  $S$  this may not be possible. If we discuss a compactification  $S \cup_f S(f)$  where  $S$  is not locally compact, we must keep in mind that  $S \cup_f S(f)$  may not be a Hausdorff compactification. This is why, we don't normally discuss the singular compactification of a space such as the non-locally compact space,  $\mathbb{Q}$ , of rationals, without commenting on whether this aspect is relevant and taken into account.

At first, the definition of a singular compactification is not a simple one to visualize. It invites many questions. For example, if given a singular compactification  $\gamma S$ , one may want to know which function induces it. Can there be more than one such function which induces it? Are there compactifications which are non-singular?

To help us answer such questions it will be helpful to find simpler characterizations of a singular set of a function and the associated singular compactification.

*Retractions and retracts.* We remind the reader that, for a topological space  $S$ ,

*...if  $A \subset S$  and  $r : S \rightarrow A$  is a continuous function which fixes the points of  $A$ , then  $r$  is referred to as a "retraction" of  $S$  onto  $A$ . In such a case,  $A$  is called a "retract" of  $S$ .*

We will see that those compactifications,  $\alpha S$ , whose outgrowth,  $\alpha S \setminus S$ , is a retract of  $\alpha S$  characterize singular compactifications.

**Theorem 22.3** Let  $S$  be locally compact and Hausdorff. Let  $\alpha S$  be a Hausdorff compactification of  $S$ . Then  $\alpha S$  is a singular compactification of  $S$  if and only if  $\alpha S \setminus S$  is a retract of  $\alpha S$ .

*Proof:* We are given that  $\alpha S$  is a Hausdorff compactification of  $S$ .

( $\Rightarrow$ ) Suppose  $\alpha S = S \cup_f S(f)$  is a singular compactification of  $S$  induced by the continuous function,

$$f : S \rightarrow \text{cl}_T f[S] = S(f) = \alpha S \setminus S$$

We are required to show that  $\alpha S \setminus S$  is a retract of  $\alpha S$ .

By definition,  $f[S]$  is dense in  $S(f)$ . Let

$$f^\alpha : \alpha S \rightarrow S(f)$$

be a function which agrees with  $f$  on  $S$  and fixes the points of  $S(f)$ .

We claim, that  $f^\alpha$  is continuous on  $\alpha S$ : Let  $x \in S(f)$  and  $U$  be an open neighborhood of  $x$ . Then  $f^{\alpha\leftarrow}[U] = U \cup f^{\leftarrow}[U]$ , by definition of a basic open neighborhood in  $\alpha S$ . So  $f^\alpha$  is continuous on  $\alpha S$ , as claimed. So  $f^\alpha : S \cup_f S(f) \rightarrow S(f)$  is a retraction of  $\alpha S$  onto  $S(f)$ .

Hence  $\alpha S \setminus S$  is a retract of  $\alpha S$ , as required.

( $\Leftarrow$ ) Suppose  $\alpha S \setminus S$  is a retract of  $\alpha S$ . We are required to show that  $\alpha S$  is a singular compactification.

By hypothesis, there is a continuous function  $r : \alpha S \rightarrow \alpha S \setminus S$  which fixes the points of  $\alpha S \setminus S$ . By definition of retract,  $r[S] \subseteq \alpha S \setminus S$ .

We claim that  $r|_S$  is a singular function on  $S$ : Let  $x \in \alpha S \setminus S$  and  $U$  be an open neighborhood of  $x$  in  $\alpha S \setminus S$ . Now,  $U$  must intersect  $r|_S[S]$ , for if not,  $r^{\leftarrow}[U] = U \subseteq \alpha S \setminus S$  which is not open in  $\alpha S$ . So  $r^{\leftarrow}[U] = U \cup (r^{\leftarrow}[U] \cap S)$ . Then, since  $\text{cl}_S r|_S^{\leftarrow}[S]$  is not compact in  $S$ , then  $\alpha S \setminus S = S(r|_S)$  is the singular set of  $r|_S$ , and so

$$\alpha S = S \cup_r S(r|_S)$$

a singular compactification induced by the map  $r|_S$ . So

$$r|_S : S \rightarrow \alpha S \setminus S$$

is a singular map, as claimed.

We now have another way of recognizing a singular compactification:

*Singular compactifications are precisely those compactifications,  $\alpha S$ , whose outgrowth,  $\alpha S \setminus S$ , is a retract of the whole space.*

The above theorem also confirms that

*... given any singular compactification  $\alpha S = S \cup_f S(f)$  induced by a singular map,  $f : S \rightarrow S(f)$ , the function  $f$  extends continuously to the function  $f^\alpha : \alpha S \rightarrow S(f)$ .*

In the following example, we verify that, given a singular compactification of  $S$ , then every compactification “below” it in the partially ordered family of all compactifications will also be a singular compactification.

*Example 1.* Show that, if  $\alpha S$  is a singular compactification and  $\gamma S$  is another compactification such that  $\gamma S \preceq \alpha S$ , then  $\gamma S$  is also a singular compactification.

*Solution:* Suppose  $\alpha S$  is a singular compactification and  $\gamma S$  is another compactification such that  $\gamma S \preceq \alpha S$ . Then  $\alpha S = S \cup_f S(f)$  where  $f : S \rightarrow T$  is continuous and  $f[S] \subseteq S(f) = \text{cl}_T f[S]$ . Also, there exists  $\pi_{\alpha \rightarrow \gamma} : \alpha S \rightarrow \gamma S$  such that  $\pi_{\alpha \rightarrow \gamma}$  is continuous and onto and fixes the points of  $S$ . If  $g = \pi_{\alpha \rightarrow \gamma} \circ f$ , then

$$\begin{aligned} \text{cl}_{\gamma S} g[S] &= \text{cl}_{\gamma S} (\pi_{\alpha \rightarrow \gamma} \circ f)[S] \\ &= \pi_{\alpha \rightarrow \gamma} [\text{cl}_{\alpha S} (f[S])] \\ &= \pi_{\alpha \rightarrow \gamma} [\alpha S \setminus S] \\ &= \gamma S \setminus S \end{aligned}$$

If  $U$  is an open subset of  $\gamma S \setminus S$

$$\begin{aligned} \text{cl}_S g^{\leftarrow}[U] &= \text{cl}_S (\pi_{\alpha \rightarrow \gamma} \circ f)^{\leftarrow}[U] \\ &= \text{cl}_S f^{\leftarrow} (\pi_{\alpha \rightarrow \gamma}^{\leftarrow}[U]) \\ &= \text{cl}_S f^{\leftarrow}[V] \quad (V \text{ open in } \alpha S \setminus S) \\ &\quad \text{a non-compact set in } S. \end{aligned}$$

So  $\gamma S \setminus S = S(g)$ . This means that  $\gamma S \setminus S$  is a retract of  $\gamma S$  and so  $\gamma S$  is a singular compactification. We are done with the solution.

Does every locally compact Hausdorff space,  $S$ , have at least one singular compactification? Well, we know that such a space  $S$ , has a one-point compactification,  $\omega S = S \cup \{\omega\}$ . Consider the constant function  $r : \omega S \rightarrow \{\omega\}$ . It is a retraction on  $\omega S$ . So  $r|_S : S \rightarrow \{\omega\}$  is a singular map on  $S$ . So we can answer this question in the affirmative.

### 22.3 Compactifications induced by non-singular functions.

Suppose  $(S, \tau)$  is a topological space and  $f : S \rightarrow T$  is a continuous function mapping a non-compact locally compact Hausdorff space  $S$  into a compact space  $T$ . For what follows,  $f$  may or may not be a singular map.

*Compactifications induced by non-singular,  $f$ .*

Suppose  $S$  is locally compact Hausdorff and  $f$  is *not* a singular map on  $S$ . Recall that

$$S(f) = \{x \in \text{cl}_T f[S] : \text{cl}_S f^{-1}[U] \text{ is not cpct } \forall T\text{-open nbhd, } U, \text{ of } x\}$$

Since  $f$  is not singular, then  $S(f)$  does not satisfy the condition,  $f[S] \subseteq S(f)$ , required to construct a singular compactification. Despite this, we can still **adjoin** the singular set  $S(f)$  to  $S$  to form a larger set,

$$K = S \cup^* S(f)$$

*Important note:* The symbol  $\cup^*$  is not to be interpreted as “ $S$  union  $S(f)$ ” but as “ $S(f)$  is a adjoined to  $S$ ” with a topology yet to be described.

We define a topology on  $K$  as follows: The set,  $\mathcal{B}_S$ , of basic open neighborhoods of points in  $S$  will be the same as the ones in  $S$  when viewed as a topological space on its own. For  $x \in S(f)$ ,  $F$  a compact subset of  $S$  and  $U$  an open neighborhood of  $x$  in  $T$ , we define

$$B_x = (U \cap S(f)) \cup f^{-1}[U] \setminus F$$

as a basic open neighborhood of  $x$ . This defines a topology on  $K = S \cup^* S(f)$  (where  $S(f)$  is adjoined to  $S$ ) generated by the basic open sets,

$$\mathcal{B} = \mathcal{B}_S \cup \{B_x : x \in S(f)\}$$

The set,  $K$ , will be seen to be a compact set which contains  $S$  as a dense subset. These facts will be verified following Notation 22.4 below. In the case where  $f$  is not singular (that is,  $f[S] \cap T \setminus S(f) \neq \emptyset$ ), the set  $S(f)$  will, however, not be a retract of the compactification

$K = S \cup^* S(f)$ .<sup>2</sup> We would like to adopt notation which will help distinguish those compactifications (induced by a function) which are singular from those that are not.

**Notation 22.4** Suppose  $S(f)$  is a singular set of a function  $f : S \rightarrow T$  where  $T$  is compact. If  $f$  is not a singular map we will represent the compactification  $\gamma S$  induced by  $f$  as

$$\gamma S = S \cup^* S(f)$$

Notice how we distinguish it from those compactifications where  $f$  is a singular map:

$$\gamma S = S \cup_f S(f)$$

We first justify a few statements made in the introductory paragraph above. All properties refer to a continuous function  $f : S \rightarrow T$  mapping the locally compact Hausdorff space into the compact space  $T$ .

We verify that  $\gamma S = S \cup^* S(f)$  is a well-defined topological space. To do this, we will show that the given family,  $\mathcal{B}$ , of sets satisfies the “base property” (See page 85). If so, it generates a topology on  $\gamma S$ . Clearly  $\gamma S = \cup\{B \in \mathcal{B}\}$ . Given

$$A \cap B = (U_x \cup f^{-1}[U_x] \setminus F_U) \cap (V_x \cup f^{-1}[V_x] \setminus F_V)$$

we have,

$$\begin{aligned} x \in A \cap B &= (U_x \cap V_x) \cup [(f^{-1}[U_x] \setminus F_U) \cap (f^{-1}[V_x] \setminus F_V)] \\ &= (U_x \cap V_x) \cup [f^{-1}[U_x] \cap f^{-1}[V_x]] \setminus (F_U \cup F_V) \end{aligned}$$

implies

$$x \in (U_x \cap V_x) \cup [f^{-1}[U_x \cap V_x]] \setminus (F_U \cup F_V) = A \cap B$$

So  $\mathcal{B}$  satisfies the base property and so generates a topology on  $\gamma S$ .

The set  $S$  is dense in  $\gamma S = S \cup^* S(f)$ . See that very open neighborhood,  $U \cup f^{-1}[U] \setminus F$ , of a point in  $S(f)$  intersects  $S$ .

<sup>2</sup>If  $f$  is not singular,  $f[S]$  may still intersect  $S(f)$ , or may not even intersect  $S(f)$  at all; it is just that  $f[S]$  cannot be entirely contained in  $S(f)$

We verify that  $\gamma S = S \cup^* S(f)$  is indeed compact and Hausdorff.

Proof: Given:  $\gamma S = S \cup^* S(f)$  and  $f : S \rightarrow T$  a continuous function mapping completely regular,  $S$ , into the compact space  $T$ . Then  $f$  extends to  $f^{\beta(T)} : \beta S \rightarrow T$ .

Define the function,  $\mu : \beta S \rightarrow \gamma S = S \cup^* S(f)$ , as:

$$\mu|_{\beta S \setminus S} = f^{\beta(T)}|_{\beta S \setminus S} \quad \text{and} \quad \mu|_S(x) = x$$

We claim that  $\mu : \beta S \rightarrow S \cup^* S(f)$  is continuous. Let  $U \cup f^{\leftarrow}[U] \setminus F$  be an open neighborhood of  $y \in S(f) \subseteq \gamma S$  (where  $F$  is compact in  $S$ ). Then

$$\begin{aligned} \mu^{\leftarrow}[U \cup f^{\leftarrow}[U] \setminus F] &= \mu^{\leftarrow}[U] \cup \mu^{\leftarrow}[f^{\leftarrow}[U] \setminus F] \\ &= \mu|_{\beta S \setminus S}^{\leftarrow}[U] \cup \mu|_S^{\leftarrow}[f^{\leftarrow}[U] \setminus F] \\ &= f^{\beta(T)}|_{\beta S \setminus S}^{\leftarrow}[U] \cup f^{\leftarrow}[U] \setminus F \\ &= f^{\beta(T)}|_{\beta S \setminus S}^{\leftarrow}[U] \cup f^{\beta(T)}|_S^{\leftarrow}[U] \setminus F \\ &= f^{\beta(T)}{}^{\leftarrow}[U] \setminus F \end{aligned}$$

an open subset of  $\beta S$ . So  $\gamma S = S \cup^* S(f)$  is the continuous image of  $\beta S$ . Then  $\gamma S = S \cup^* S(f)$  is compact.

Then  $S \cup^* S(f)$  is a compactification of  $S$ . Also, since  $S$  is assumed to be locally compact,  $S$  is open in  $S \cup^* S(f)$  and is Hausdorff. This is why, we don't normally discuss the compactification  $\mathbb{Q} \cup^* S(f)$  induced by a singular function  $f$ , of the non-locally compact space,  $\mathbb{Q}$ , of rationals.

We verify that, if  $f$  induces the compactification  $\alpha S = S \cup^* S(f)$ , then  $f : S \rightarrow T$  extends continuously to  $f^\alpha : S \rightarrow T$  such that  $f^\alpha(x) = x$  on  $\alpha S \setminus S$ .

Proof: Let  $f \in C(S, T)$ . Suppose  $f^\alpha|_S = f$  and  $f^\alpha(x) = x$  on  $\alpha S \setminus S$ . Let  $x \in S(f) = \alpha S \setminus S$  and suppose  $U$  is an open neighborhood of  $x$  in  $T$ . Then  $x \in f^{\alpha \leftarrow}[U] = U \cup f^{\leftarrow}[U]$  (by definition of a basic open neighborhood in  $\alpha S = S \cup^* S(f)$ ). Then  $f^\alpha[U]$  is open in  $\alpha S$ , so  $f^\alpha$  is continuous on  $\alpha S$ , as claimed. Note that  $S(f)$  is not a retract of  $\alpha S$  since  $f[S] \not\subseteq S(f)$ .

We present another way to view  $S(f)$ .

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**Theorem 22.5** Let  $f : S \rightarrow T$  be a function mapping a completely regular space  $S$  into the compact space  $T$ . By definition,  $S(f) \subseteq \text{cl}_T f[S]$ . Then we can describe  $S(f)$  as

$$S(f) = f^{\beta(T)}[\beta S \setminus S]$$

*Proof:* Step 1. We first show that  $f^{\beta(T)}[\beta S \setminus S] \subseteq S(f)$ .

Let  $u \in \beta S \setminus S$  and  $U$  be an open neighborhood of  $f^{\beta(T)}(u)$  in  $\text{cl}_T f[S]$ . Then  $f^{\beta(T)\leftarrow}[U]$  is an open neighborhood of  $u$  in  $\beta S$ . Then  $\text{cl}_S f^{\leftarrow}[U]$  cannot be compact (for if  $\text{cl}_S f^{\leftarrow}[U]$  is compact and  $V$  is an open neighborhood of  $u$  in  $\beta S$ ,  $(V \cap f^{\beta(T)\leftarrow}[U]) \setminus \text{cl}_S f^{\leftarrow}[U]$  is an open subset of  $\beta S$  contained in  $\beta S \setminus S$ ). Then, by definition of  $S(f)$ ,  $f^{\beta(T)}(u) \in S(f)$ .

Step 2. To establish the claim it suffices to show  $S(f) \subseteq f^{\beta(T)}[\beta S \setminus S]$ .

Let  $x \in S(f)$ . To show that  $x \in f^{\beta(T)}[\beta S \setminus S]$ , it suffices to show  $f^{\beta(T)}(y) = x$  for some  $y \in \beta S \setminus S$ .

Define the function,  $\mu : \beta S \rightarrow \gamma S = S \cup^* S(f)$ , as:

$$\mu|_{\beta S \setminus S} = f^{\beta(T)}|_{\beta S \setminus S} \quad \text{and} \quad \mu|_S(x) = x$$

We showed in #3 above that  $\mu$  is continuous. Then

$$\mu^{\leftarrow}(x) = f^{\beta(T)\leftarrow}(x) \cap \beta S \setminus S \subseteq \beta S \setminus S$$

So, for  $y \in f^{\beta(T)\leftarrow}(x) \cap \beta S \setminus S$ ,

$$f^{\beta(T)}(y) = x$$

So

$$x \in f^{\beta(T)}[\beta S \setminus S]$$

Then  $S(f) \subseteq f^{\beta(T)}[\beta S \setminus S]$ . We are done with Step 2.

Then we conclude,

$$S(f) = f^{\beta(T)}[\beta S \setminus S]$$

as required.

*Remark:* We have shown in the proof above that for the given function  $f : S \rightarrow T$  mapping the completely regular space  $S$  into the compact Hausdorff space  $T$ , the function  $f$  extends to  $f^{\beta(T)} : \beta S \rightarrow T$ . Let  $K = f^{\beta(T)}[\beta S]$ . Then

$$\begin{aligned} f^{\beta(T)}[\beta S] &= f^{\beta(T)}[\beta S \setminus S] \cup f[S] \\ &= S(f) \cup f[S] \\ &= \text{cl}_K f[S] \end{aligned}$$

One should be careful not to confuse the compactification,  $S \cup^* S(f)$ , of  $S$  with the compact set

$$S(f) \cup f[S] = f^{\beta(T)}[\beta S] = \text{cl}_K f[S]$$

It follows from the theorem that  $f^{\beta(T)}[\beta S \setminus S] = S(f) \subseteq \text{cl}_K f[S]$ .

It is worth noting that, if  $f[S]$  turns out to be closed in the compact set  $K$ ,  $S(f) = \text{cl}_K f[S] = f[S]$ . In this case, since  $f[S] \subseteq S(f)$ ,  $f$  is a singular map and so, by definition,  $S \cup^* S(f)$  is a singular compactification.

*Remark.* Suppose  $g : S \rightarrow T$  is a continuous function mapping  $S$  into the compact set  $T$ . Consider  $\alpha S = S \cup^* S(g)$ . See that the function  $g : S \rightarrow T$  extends continuously to

$$g^\alpha : S \cup^* S(g) \rightarrow \text{cl}_T g[S] = g[S] \cup^* S(g)$$

where  $g^\alpha$  fixes points of  $\alpha S \setminus S = S(g)$  (and possibly  $S(g) \cap g[S] \neq \emptyset$ ). Let  $\mathcal{K} = C(\text{cl}_T g[S])$  (where  $C(\text{cl}_T g[S])$  separates points and closed sets of  $\text{cl}_T g[S]$ ).

Then, if  $k \in \mathcal{K}$ ,  $k[g^\alpha[\alpha S]] = k[\text{cl}_T g[S]] \subseteq \mathbb{R}$ . So,

$$\{k \circ g^\alpha : k \in \mathcal{K}\} \subseteq C(\alpha S)$$

The function  $e_{\mathcal{K}}$  embeds  $g^\alpha[\alpha S] = \text{cl}_T g[S]$  into  $\prod_{k \in \mathcal{K}} [a, b]_k$ .

## 22.4 A few examples.

The above definition shows that any continuous function,  $f : S \rightarrow T$  from  $S$  into a compact space,  $T$ , can be used to construct a compactification of a locally compact Hausdorff space  $S$ . We consider a few examples to better visualize how this is done.

*Example 2.* Consider the space  $\mathbb{R}$  equipped with the usual topology. The space  $\mathbb{R}$  is known to be locally compact non-compact Hausdorff. Consider the continuous functions

$$\sin : \mathbb{R} \rightarrow [-1, 1] \text{ and } \cos : \mathbb{R} \rightarrow [-1, 1]$$

both mapping  $\mathbb{R}$  into the compact subspace  $[-1, 1]$ . Show that  $\sin$  and  $\cos$  are both singular maps on  $\mathbb{R}$  and so each induce a singular compactification of  $\mathbb{R}$ .

*Solution:* Case sine: See that, for any  $x \in [-1, 1]$  and open neighborhood,  $U$  of  $x$ , in  $[-1, 1]$ ,  $\sin^{-1}[U]$  is unbounded and so its closure,  $\text{cl}_{\mathbb{R}} \sin^{-1}[U]$ , in  $\mathbb{R}$  is not compact. Then  $x \in S(\sin)$ , and so  $[-1, 1] \subseteq S(\sin)$ . By definition,  $S(\sin) \subseteq \text{cl}_{[-1,1]} \sin[\mathbb{R}]$ , so

$$S(\sin) = \text{cl}_{\mathbb{R}}[\sin[\mathbb{R}]] = [-1, 1]$$

Then  $\sin : \mathbb{R} \rightarrow [-1, 1]$  is a singular map. We can then use the sine function to construct the singular compactification

$$\alpha\mathbb{R} = \mathbb{R} \cup_{\sin} S(\sin) = \mathbb{R} \cup_{\sin} [-1, 1]$$

of  $\mathbb{R}$  with outgrowth  $[-1, 1]$ . The function  $\sin : \mathbb{R} \rightarrow [-1, 1]$  extends continuously to the function

$$\sin^{\alpha} : \alpha\mathbb{R} \rightarrow [-1, 1]$$

such that  $\sin^{\alpha}(x)|_{[-1,1]} = x$ .

Case cosine: Proceed similarly to show that  $\mathbb{R} \cup_{\cos} S(\cos) = \mathbb{R} \cup_{\cos} [-1, 1]$  is also a singular compactification of  $\mathbb{R}$ .

*Visualizing how points in  $\mathbb{R}$  converge to points in  $[-1, 1] = S(\sin)$ .* We can visualize what is occurring in these compactifications by examining which sequences converge to which points. For example, for  $\frac{\sqrt{2}}{2} \in [-1, 1]$ , every neighborhood

$$\left(\frac{\sqrt{2}}{2} - \varepsilon, \frac{\sqrt{2}}{2} + \varepsilon\right) \cup \sin^{-1}\left[\left(\frac{\sqrt{2}}{2} - \varepsilon, \frac{\sqrt{2}}{2} + \varepsilon\right)\right]$$

of  $\frac{\sqrt{2}}{2}$  contains the sequence

$$V = \left\{2n\pi + \frac{\pi}{4} : n = 0, 1, 2, 3, \dots\right\}$$

Then  $V$  must converge to  $\frac{\sqrt{2}}{2}$ . Since  $\sin^{\alpha} : \alpha\mathbb{R} \rightarrow [-1, 1]$  is continuous the sequence,  $\sin^{\alpha}[V]$ , must converge to  $\sin^{\alpha}\left(\frac{\sqrt{2}}{2}\right) = \frac{\sqrt{2}}{2}$ . This is confirmed by that fact that  $\sin^{\alpha}[V] = \left\{\frac{\sqrt{2}}{2}, \frac{\sqrt{2}}{2}, \frac{\sqrt{2}}{2}, \dots\right\}$ .

The above example produces two compactifications of  $\mathbb{R}$  with identical outgrowth. It may be tempting to conclude that they are equivalent compactifications. We will later show (on page 532) that this is not the case.

*Example 3.* We produce an example where  $f[S] \cap S(f) = \emptyset$ . Consider the space  $\mathbb{R}$  equipped with the usual topology. The space  $S$  is known

to be a locally compact non-compact Hausdorff. Let  $T = [-\pi/2, \pi/2]$ . Show that  $\mathbb{R} \cup^* S(\arctan)$  is *not* a singular compactification of  $\mathbb{R}$ . Then find the compactification induced by  $\arctan$ .

*Solution:* We consider the function,  $\arctan : \mathbb{R} \rightarrow T$ . We then verify which points in  $\text{cl}_T[\arctan[\mathbb{R}]] = [-\pi/2, \pi/2]$  belong to the singular set  $S(\arctan)$ . We see that  $\arctan$ , pulls back open intervals of the form  $(a, b)$  in  $[-\pi/2, \pi/2]$ , to intervals whose closure is compact in  $\mathbb{R}$ . Then  $\arctan[\mathbb{R}] = (-\pi/2, \pi/2) \not\subseteq S(\arctan)$ . So  $\arctan$  is not a singular map. Thus  $\mathbb{R} \cup S(\arctan)$  is *not* a singular compactification of  $\mathbb{R}$ .

We now determine  $S(\arctan)$ . Since the curve of  $y = \arctan(x)$  is asymptotic to the horizontal lines  $y = -\pi/2$  and  $y = \pi/2$ , the function  $\arctan$  pulls backs open intervals of the form  $(a, \pi/2]$  and  $[-\pi/2, b)$  to unbounded sets and so the “pull backs” of these have non-compact closures in  $\mathbb{R}$ . So  $S(\arctan) = \{-\pi/2, \pi/2\}$ . So, even though  $\arctan$  is not a singular map on  $\mathbb{R}$  it does induce a “two-point compactification”

$$\mathbb{R} \cup S(\arctan) = \mathbb{R} \cup \{-\pi/2, \pi/2\}$$

of  $\mathbb{R}$ . Note that, in this example,

$$f[S] \cap S(\arctan) = (-\pi/2, \pi/2) \cap \{-\pi/2, \pi/2\} = \emptyset$$

*Remark.* We now witnessed two ways of constructing the two-point compactification of  $\mathbb{R}$ . Recall that, in the last chapter, we used  $\arctan$  to embed  $\mathbb{R}$  into the closed interval  $[-\pi/2, \pi/2]$  to form the two-point compactification,

$$\alpha\mathbb{R} = [-\pi/2, \pi/2]$$

of  $\mathbb{R}$ . In that context, no reference to the singular set  $S(\arctan)$  was made. While, in the above example, we constructed the *non-singular* compactification

$$\mathbb{R} \cup^* S(\arctan) = \mathbb{R} \cup \{-\pi/2, \pi/2\}$$

This was done, even though  $\arctan$  is not a singular map.

*Example 4.* Show that  $\beta\mathbb{R}$  is not a singular compactification of  $\mathbb{R}$ .

*Solution:* We have shown that  $\mathbb{R} \cup S(\arctan) = \mathbb{R} \cup \{-\pi/2, \pi/2\}$  is a compactification of  $\mathbb{R}$  but not a singular one. Since  $\mathbb{R} \cup S(\arctan) \preceq \beta\mathbb{R}$ , then, by the example on page 524,  $\beta\mathbb{R}$  cannot be singular.

In the following example we show that two compactifications of the same set with the same outgrowth need not be equivalent compactifications.

*Example 5.* Given the two singular compactifications,

$$\begin{aligned}\alpha\mathbb{R} &= \mathbb{R} \cup_{\cos} S(\cos) \\ \gamma\mathbb{R} &= \mathbb{R} \cup_{\sin} S(\sin)\end{aligned}$$

show that, in spite of  $S(\sin) = [-1, 1] = S(\cos)$ ,  $\alpha\mathbb{R}$  and  $\gamma\mathbb{R}$  are *not* equivalent compactifications.

*Solution:* Suppose  $\alpha\mathbb{R}$  and  $\gamma\mathbb{R}$  are equivalent compactifications. We will show that this will lead to a contradiction.

Then, by definition of “equivalent compactifications”, there exists a homeomorphism,

$$\pi_{\gamma \rightarrow \alpha} : \gamma\mathbb{R} \rightarrow \alpha\mathbb{R}$$

such that for  $x \in \mathbb{R}$ ,  $\pi_{\gamma \rightarrow \alpha}(x) = x$ .

By definition, the function,  $\pi_{\gamma \rightarrow \alpha}|_{\gamma\mathbb{R} \setminus \mathbb{R}}$ , is a homeomorphism mapping  $[-1, 1]$  onto  $[-1, 1]$ . This means that  $\pi_{\gamma \rightarrow \alpha}|_{\gamma\mathbb{R} \setminus \mathbb{R}}$  is monotone and maps endpoints to endpoints. Suppose, without loss of generality, that  $\pi_{\gamma \rightarrow \alpha}$  is increasing and so  $\pi_{\gamma \rightarrow \alpha}|_{\gamma\mathbb{R} \setminus \mathbb{R}}(-1) = -1$ . Then  $\pi_{\gamma \rightarrow \alpha}|_{\gamma\mathbb{R} \setminus \mathbb{R}}(1) = 1$ .

Let

$$\begin{aligned}U &= (a, 1] \subseteq S(\sin) \\ V &= \pi_{\gamma \rightarrow \alpha}|_{\gamma\mathbb{R} \setminus \mathbb{R}} U \quad (\text{in } S(\cos))\end{aligned}$$

Note that  $V$  is a non-empty set. See that we can choose  $a$  small enough so that  $\sin^{-1}[U] \cap \cos^{-1}[V] \cap [-\pi, \pi]$  is empty. Then  $\sin^{-1}[U] \cap \cos^{-1}[V] = \emptyset$ .

Now  $\cos : \mathbb{R} \rightarrow [-1, 1]$  extends to  $\cos^\alpha : \alpha\mathbb{R} \rightarrow [-1, 1]$  (where  $\cos^\alpha$  fixes the points of  $S(\cos)$ ).

By definition of open sets in  $\gamma\mathbb{R}$ ,  $U \cup \sin^{-1}[U]$  is open in  $\gamma\mathbb{R}$ . Then, by continuity of  $\cos^\alpha$  and  $\pi_{\gamma \rightarrow \alpha}$  is a homeomorphism, the two sets

$$\begin{aligned}\pi_{\gamma \rightarrow \alpha}[U \cup \sin^{-1}[U]] &= V \cup \sin^{-1}[U] \\ \cos^\alpha{}^{-1}[V] &= V \cup \cos^{-1}[V] \quad (\text{Since } \cos^\alpha \text{ fixes the points of } \alpha\mathbb{R} \setminus \mathbb{R})\end{aligned}$$

are both open subsets of  $\alpha\mathbb{R}$ .

So

$$\begin{aligned}(V \cup \sin^{-1}[U]) \cap (V \cup \cos^{-1}[V]) &= V \cup (\sin^{-1}[U] \cap \cos^{-1}[V]) \\ &= V \cup \emptyset \\ &= V\end{aligned}$$

a non-empty open subset of  $\alpha\mathbb{R}$  which is entirely contained in  $\alpha\mathbb{R} \setminus \mathbb{R} = [-1, 1]$ . Since  $\mathbb{R}$  is dense in  $\alpha\mathbb{R} = \mathbb{R} \cup [-1, 1]$ , this is impossible. The source of our contradiction is our supposition that  $\alpha\mathbb{R}$  and  $\gamma\mathbb{R}$  are equivalent. We are done.

*The topologist's sine curve.* The real-valued function,  $f(x) = \sin(1/x)$ , is often referred to, in topological folklore, as the “topologist’s sine curve”. It is continuous and bounded on its domain  $S = \mathbb{R} \setminus \{0\}$ . Consider the Stone-Ćech compactification  $\beta S$ . Since  $f(x) = \sin(1/x)$  is continuous and bounded on  $S$  then it extends to a function  $f^\beta : \beta S \rightarrow \mathbb{R}$  on  $\beta S$ . It possibly extends to some “smaller” compactification  $\alpha S$  of  $S$ . One is easily mystified when trying to imagine how  $f^\alpha$  would behave on  $\alpha S \setminus S$ . The following example sheds a bit of light on this matter.

*Example 6.* Let  $S = \mathbb{R} \setminus \{0\}$  be equipped with the standard subspace topology. The function,  $f(x) = \sin(1/x)$ , is easily seen to be a continuous and bounded function on  $S$ . Construct and describe a singular compactification  $\alpha S$ , of  $S$  induced by  $f(x)$  so that  $f$  extends continuously to a function  $f^\alpha : \alpha S \rightarrow \mathbb{R}$ .

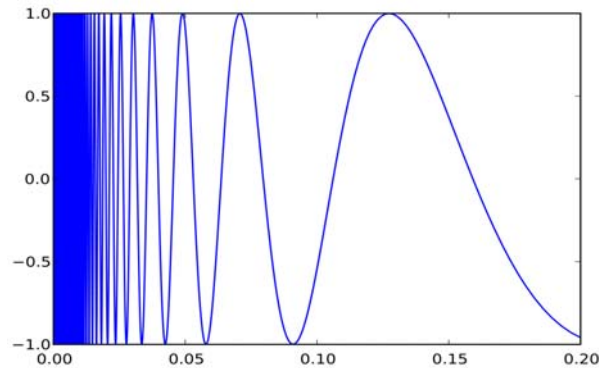


Figure 11: The graph of  $y = \sin(1/x)$ .

*Solution:* We are given  $f(x) = \sin(1/x)$  where  $f : S \rightarrow T$ , maps  $S = \mathbb{R} \setminus \{0\}$  onto the compact set  $T = [-1, 1]$ .

If  $y \in [-1, 1]$ ,  $U = (y - \varepsilon, y + \varepsilon) \cap [-1, 1]$  is an open interval contained in  $[-1, 1]$ . Since  $f$  is continuous on  $S$  then  $f^{-1}[U]$  is open in  $S$ . Furthermore, as  $x$  approaches zero from both sides,  $\text{cl}_S f^{-1}[U]$  does not attain its limit points near 0 nor at either of the extremities of  $\mathbb{R}$ . Hence  $\text{cl}_S f^{-1}[U]$  is not compact. Hence  $f$  is a singular function on  $S$  with  $S(f) = [-1, 1]$  which induces the singular compactification

$$\alpha S = S \cup_f S(f)$$

The function  $f$  then extends to  $\alpha S$  where  $f^\alpha|_{[-1,1]}(x) = x$ .

Open neighborhoods of points in  $S(f) = [-1, 1]$  are of the form  $U \cup f^{-1}[U]$ . We witness how sequences in  $S$  converge to points in the outgrowth. If  $z \in U$ ,  $z = \lim_{n \rightarrow \infty} \sin(1/x_n)$  for some sequence  $\{x_n\}$  in  $S$  converging to zero. For example,

$$\begin{aligned} \frac{\sqrt{2}}{2} &= \lim_{n \rightarrow \infty} \frac{\sqrt{2}}{2} \\ &= \lim_{n \rightarrow \infty} \sin(2n\pi + \pi/4) \\ &= \lim_{n \rightarrow \infty} \sin\left[1/\left[\frac{1}{(2n\pi + \pi/4)}\right]\right] \\ &= \lim_{n \rightarrow \infty} \sin[1/x_n] \end{aligned}$$

where the terms,  $x_n = \frac{1}{(2n\pi + \pi/4)}$ , converge to zero as  $n$  tends to infinity.

Also

$$0 = \lim_{n \rightarrow \infty} 0 = \lim_{n \rightarrow \infty} \sin\left[1/\left[\frac{1}{(2n\pi)}\right]\right] = \lim_{n \rightarrow \infty} \sin[1/x_n]$$

where the terms,  $x_n = \frac{1}{2n\pi}$ , converge to zero as  $n$  tends to infinity.

Also,

$$0 = \lim_{n \rightarrow \infty} \sin[1/n] = \lim_{n \rightarrow -\infty} \sin[1/n]$$

*Example 7.* We produce a non-singular compactification,  $S \cup^* S(f)$ , of a space  $S$  such that  $f[S] \setminus S(f)$  is non-empty. Let  $f : \mathbb{R} \rightarrow \mathbb{R}$  be the continuous function defined as follows:

$$f(x) = \begin{cases} \sin(x) & \text{if } x \leq 0 \\ \arctan(x) & \text{if } x \geq 0 \end{cases}$$

Then

$$\begin{aligned} f[\mathbb{R}] &= f[\mathbb{R}^-] \cup f[\mathbb{R}^+] \\ &= \sin[\mathbb{R}^-] \cup \arctan[\mathbb{R}^+] \\ &= [-1, 1] \cup [0, \pi/2) \\ &= [-1, \pi/2) \end{aligned}$$

See that  $S(f) = [-1, 1] \cup \{\pi/2\}$ . Since  $f[\mathbb{R}] = [-1, \pi/2) \not\subseteq [-1, 1] \cup \{\pi/2\}$  then  $f$  is not a singular function and so the corresponding non-singular compactification is  $\mathbb{R} \cup^* S(f)$ .

Furthermore,

$$\begin{aligned} f[S] \setminus S(f) &= [-1, \pi/2) \setminus [-1, 1] \cup \{\pi/2\} \\ &= (1, \pi/2) \\ &\neq \emptyset \end{aligned}$$

## 22.5 More on equivalent singular compactifications.

We will now produce a characterization of pairs of singular compactifications which are equivalent. But first we must present a few lemmas involving singular sets  $S(f)$ .

**Lemma 22.6** Let  $f : S \rightarrow K$  be a continuous function mapping a locally compact Hausdorff space into a compact Hausdorff space,  $K$ , and  $Y = \text{cl}_K f[S]$ . Then

$$S(f) = \bigcap \{ \text{cl}_Y f[S \setminus F] : F \text{ is compact in } S \}$$

*Proof:* We are given that  $f : S \rightarrow K$  is a continuous function mapping  $S$  into the compact space  $K$  and  $Y = \text{cl}_K f[S]$ . In the proof,  $F$  will always represent a compact set in  $S$ .

Claim #1: We claim that  $S(f) \subseteq \text{cl}_Y f[S \setminus F]$  for all compact  $F$ . To prove this, it suffices to show that  $Y \setminus \text{cl}_Y f[S \setminus F] \cap S(f) = \emptyset$ .

Let  $p \in Y \setminus \text{cl}_Y f[S \setminus F]$ . Then there exists an open neighborhood  $U$  of  $p$  in  $Y$  such that  $f^{-1}[U] \subseteq F$ . Then  $\text{cl}_S f^{-1}[U]$  is compact so  $p \notin S(f)$ . Then  $Y \setminus \text{cl}_Y f[S \setminus F] \cap S(f) = \emptyset$ ; so  $S(f) \subseteq \text{cl}_Y f[S \setminus F]$ , as claimed. We can deduce that

$$S(f) \subseteq \bigcap \{ \text{cl}_Y f[S \setminus F] : F \text{ is compact in } S \}$$

Claim #2:  $\bigcap \{ \text{cl}_Y f[S \setminus F] : F \text{ is compact in } S \} \subseteq S(f)$ .

Let  $p \in \bigcap \{ \text{cl}_Y f[S \setminus F] : F \text{ is compact in } S \}$ . Suppose  $p \notin S(f)$ . Then there is an open neighborhood  $U_1$  of  $p$  in  $Y = \text{cl}_K f[S]$  such that

$\text{cl}_S f^{-1}[U_1]$  is compact. But

$$\begin{aligned} p &\in \bigcap \{ \text{cl}_Y f[S \setminus F] : F \text{ is compact in } S \} \\ &\subseteq \text{cl}_Y f[S \setminus \text{cl}_S f^{-1}[U_1]] \\ &\subseteq \text{cl}_Y f[S \setminus f^{-1}[U_1]] \\ &\subseteq \text{cl}_Y f \circ f^{-1}[Y \setminus U_1] \\ &= Y \setminus U \end{aligned}$$

The statement “ $p \in Y \setminus U$ ” contradicts the fact that  $U$  is a neighborhood of  $p$ . Consequently,  $\bigcap \{ \text{cl}_Y f[S \setminus F] : F \text{ is compact in } S \} \subseteq S(f)$  as claimed.

So  $S(f) = \bigcap \{ \text{cl}_Y f[S \setminus F] : F \text{ is compact in } S \}$ . This completes the proof of the lemma.

**Lemma 22.7** Let  $S$  be a locally compact Hausdorff space and  $\alpha S$  be a compactification of  $S$ . Let  $K$  be a compact space. If  $f \in C_\alpha(S, K)^4$ , then  $f^\alpha[\alpha S \setminus S] = S(f)$ .

*Proof:* If  $F$  is compact in  $S$ , then  $\alpha S \setminus S \subseteq \text{cl}_{\alpha S}(S \setminus F)$ , and so

$$f^\alpha[\alpha S \setminus S] \subseteq f^\alpha[\text{cl}_{\alpha S}(S \setminus F)] \subseteq \text{cl}_{\text{cl}_K f[S]} f[S \setminus F]$$

Then  $f^\alpha[\alpha S \setminus S] \subseteq \bigcap \{ \text{cl}_{\text{cl}_K f[S]} f[S \setminus F] : F \text{ is compact in } S \}$ . By the previous lemma  $S(f) = \bigcap \{ \text{cl}_K f[S] f[S \setminus F] : F \text{ is compact in } S \}$ , so

$$f^\alpha[\alpha S \setminus S] \subseteq S(f)$$

On the other hand, if  $p \notin f^\alpha[\alpha S \setminus S]$  and  $U$  is an open neighborhood of  $p$  in  $K$  such that  $\text{cl}_K U$  misses  $f^\alpha[\alpha S \setminus S]$ , then  $\text{cl}_S f^{-1}[U] \subseteq f^{-1}[\text{cl}_K U]$ , a compact subset of  $S$ . Hence  $\text{cl}_S f^{-1}[U]$  is compact and so  $p \notin S(f)$ . So

$$S(f) \subseteq f^\alpha[\alpha S \setminus S]$$

We conclude that, if  $f$  extends to  $f^\alpha : \alpha S \rightarrow K$ , then  $f^\alpha[\alpha S \setminus S] = S(f)$ . This proves the lemma.

<sup>4</sup>Where  $C_\alpha(S, K)$  is the set of continuous functions mapping  $S$  into  $K$  which extend to  $f^\alpha C(\alpha S, K)$ .

**Lemma 22.8** Let  $f : S \rightarrow K$  be a continuous function in  $C(S, K)$  mapping the locally compact Hausdorff space  $S$  into a compact Hausdorff space,  $K$ . Suppose  $f$  extends to  $f^{\alpha(K)} \in C(\alpha S, K)$  for some compactification  $\alpha S$  such that  $f^{\alpha(K)}$  is one-to-one on  $\alpha S \setminus S$ . Then

$$\alpha S \equiv S \cup^* S(f)$$

*Proof:* Let  $S(f)$  be the singular set of the continuous map  $f : S \rightarrow K$  (not necessarily a singular function). We are given a compactification,  $\alpha S$ , such that  $f$  extends continuously to  $f^{\alpha(K)} : \alpha S \rightarrow K$  in such a way that  $f^\alpha$  is one-to-one on  $\alpha S \setminus S$ .

By Lemma 22.7,  $f^{\alpha(K)}[\alpha S \setminus S] = S(f)$ .

If  $\gamma S = S \cup^* S(f)$ , we define  $\pi_{\alpha \rightarrow \gamma} : \alpha S \rightarrow S \cup^* S(f)$  as follows:

$$\pi_{\alpha \rightarrow \gamma}(x) = \begin{cases} f^\alpha(x) & \text{if } x \in \alpha S \setminus S \\ x & \text{if } x \in S \end{cases}$$

Claim: That  $\pi_{\alpha \rightarrow \gamma}$  is continuous on  $\alpha S$ . It suffices to show that  $\pi_{\alpha \rightarrow \gamma}$  pulls back open neighborhoods in  $S \cup^* S(f)$  to open sets in  $\alpha S$ .

Suppose  $p \in S \cup^* S(f)$ . Clearly, if  $p \in S$  and  $p \in U$  is open in  $S$ , then  $\pi_{\alpha \rightarrow \gamma}^{-1}[U]$  is open in  $\alpha S$ . If  $p \in S(f)$ , then an open neighborhood of  $p$  is, by definition, of the form  $[U \cap S(f)] \cup f^{-1}[U] \setminus F$  where  $U$  is an open subset of  $K$  and  $F$  some compact set in  $S$ . See that,

$$\begin{aligned} \pi_{\alpha \rightarrow \gamma}^{-1} [ [U \cap S(f)] \cup f^{-1}[U] \setminus F ] &= \pi_{\alpha \rightarrow \gamma}^{-1}[U \cap S(f)] \cup \pi_{\alpha \rightarrow \gamma}^{-1}[f^{-1}[U] \setminus F] \\ &= \pi_{\alpha \rightarrow \gamma}^{-1}[U] \cap \pi_{\alpha \rightarrow \gamma}^{-1}[S(f)] \cup f^{-1}[U] \setminus F \\ &= (f^{\alpha \leftarrow}[U] \cap f^{\alpha \leftarrow}[S(f)]) \cup f^{-1}[U] \setminus F \\ &= (f^{\alpha \leftarrow}[U \cap S(f)] \cup f^{-1}[U] \setminus F) \\ &= f^{\alpha \leftarrow}[U] \setminus F \\ &= f^{\alpha \leftarrow}[U] \cap S \setminus F \end{aligned}$$

We see that  $\pi_{\alpha \rightarrow \gamma}$  pulls back open neighborhoods of points in  $S(f)$  to open sets. So  $\pi_{\alpha \rightarrow \gamma} : \alpha S \rightarrow S \cup^* S(f)$  is continuous, as claimed.

By definition,  $\alpha S$  and  $S \cup^* S(f)$  are equivalent compactifications.

We now present an immediate consequence of the above results.

*Example 8.* Suppose  $S$  is a completely regular topological space and  $\alpha S$  is a compactification of  $S$ . Then  $C(\alpha S)$  separates points and closed

sets of  $\alpha S$ . If  $\mathcal{F} = C_\alpha(S)$ , the function  $e_{\mathcal{F}}^\alpha : \alpha S \rightarrow \prod_{f \in \mathcal{F}} \mathbb{R}_f$  is one-to-one on  $\alpha S \setminus S$ . By the lemma immediately above,

$$e_{\mathcal{F}}^\alpha[\alpha S \setminus S] = S(e_{\mathcal{F}}) \quad \text{and} \quad \alpha S \equiv S \cup^* S(e_{\mathcal{F}})$$

Hence,

*Every compactification  $\alpha S$  of  $S$  can be expressed in the form*

$$\alpha S \equiv S \cup^* S(e_{C_\alpha(S)})$$

*It is worth emphasizing the following fact of interest.*

Let  $\mathcal{F} = C_\alpha(S)$ . Suppose  $p \in S(e_{\mathcal{F}}) \cap e_{\mathcal{F}}[S]$ . Then  $p \in e_{\mathcal{F}}^\alpha[\alpha S \setminus S] \cap e_{\mathcal{F}}[S]$ . Then  $e_{\mathcal{F}}^{\alpha \leftarrow} e_{\mathcal{F}}^\alpha(p)$  is not a singleton. This contradicts the fact that  $e_{\mathcal{F}}^\alpha$  is one-to-one on  $\alpha S$ . So, not only is  $e_{\mathcal{F}}$  not a singular map,

$$e_{\mathcal{F}}[S] \cap e_{\mathcal{F}}^\alpha[\alpha S \setminus S] = \emptyset$$

Hence

$$e_{\mathcal{F}}[S] \cap S(e_{\mathcal{F}}) = \emptyset$$

In the particular case where  $\mathcal{F} = C^*(S)$

$$\beta S \equiv S \cup^* S(e_{C^*(S)}) \quad \text{and} \quad e_{C^*(S)}[S] \cap e_{C^*(S)}^\beta[\beta S \setminus S] = \emptyset$$

**Theorem 22.9** Let  $S$  be a completely regular topological space. Suppose  $f : S \rightarrow K$  and  $g : S \rightarrow K$  are two continuous *singular* functions mapping  $S$  into a compact space  $K$ . Suppose  $S(f)$  and  $S(g)$  are homeomorphic. Then the two induced singular compactifications,

$$\begin{aligned} \alpha S &= S \cup_f S(f) \\ \gamma S &= S \cup_g S(g) \end{aligned}$$

are equivalent if and only if the singular function  $f : S \rightarrow S(f)$  of  $\alpha S$  extends continuously to  $f^\gamma : \gamma S \rightarrow S(g)$  such that  $f^\gamma$  separates the points of  $\gamma S \setminus S = S(g)$ .

*Proof:* We are given that  $f : S \rightarrow K$  and  $g : S \rightarrow K$  are two continuous *singular* functions mapping  $S$  into a compact space  $K$  inducing the two singular compactifications,  $\alpha S = S \cup_f S(f)$  and  $\gamma S = S \cup_g S(g)$ . Also,  $S(f)$  and  $S(g)$  are seen to be homeomorphic.

( $\Rightarrow$ ) Suppose  $\alpha S = S \cup_f S(f)$  and  $\gamma S = S \cup_g S(g)$  are equivalent.

We are required to show that the singular function  $f : S \rightarrow S(f)$  extends continuously to  $f^\gamma : \gamma S \rightarrow S(g)$  such that  $f^\gamma$  separates the points of  $\gamma S \setminus S = S(g)$ .

Recall that  $f^\alpha : \alpha S \rightarrow \alpha S \setminus S$  acts as the identity map on  $\alpha S \setminus S$ . Also, since  $\alpha S$  and  $\gamma S$  are equivalent, then there is a continuous map  $\pi_{\gamma \rightarrow \alpha} : \gamma S \rightarrow \alpha S$  such that  $\pi_{\gamma \rightarrow \alpha}(x) = x$  on  $S$  and  $\pi_{\gamma \rightarrow \alpha}$  maps  $\gamma S \setminus S$  homeomorphically onto  $\alpha S \setminus S$ .

Let  $f^\gamma : \gamma S \rightarrow S(f)$  be defined as follows:

$$f^\gamma = f^\alpha \circ \pi_{\gamma \rightarrow \alpha}$$

Then  $f^\gamma$  is continuous,  $f^\gamma|_S = f$  and  $f^\gamma|_{S(g)} = \pi_{\gamma \rightarrow \alpha}|_{S(g)}$ . This shows that  $f : S \rightarrow S(f)$  extends continuously to the function  $f^\gamma : S \cup_g S(g) \rightarrow S(f)$ . Since  $\pi_{\gamma \rightarrow \alpha}$  is a homeomorphism on  $S(g)$  and  $f^\alpha$  is the identity function on  $S(f)$ , then  $f^\gamma$  separates points of  $S(g)$ , as required.

( $\Leftarrow$ ) We are given that both  $f$  and  $g$  are singular maps on  $S$  and  $\alpha S = S \cup_f S(f)$  and  $\gamma S = S \cup_g S(g)$ . We are also given that the singular function  $f : S \rightarrow S(f)$  extends continuously to  $f^\gamma : S \cup_g S(g) \rightarrow S(f)$  such that  $f^\gamma$  separates the points of  $S(g)$ .

Then, by Lemma 22.8,  $S \cup_g S(g)$  is a compactification which is equivalent to  $S \cup^* S(f)$ .

Since  $f$  is singular,  $S \cup^* S(f) = S \cup_f S(f)$ . So  $S \cup_f S(f)$  and  $S \cup_g S(g)$  are equivalent.

**Theorem 22.10** Two continuous functions,  $f : S \rightarrow K$  and  $g : S \rightarrow K$ , will be said to be *homeomorphically related* if there exists a homeomorphic function  $h : cl_K g[S] \rightarrow cl_K f[S]$  such that  $h(g(x)) = f(x)$  for all  $x \in S$ . Suppose that  $f : S \rightarrow K$  and  $g : S \rightarrow K$  are two singular maps such that  $S(f) = S(g)$ . If  $f$  and  $g$  are homeomorphically related, then  $S \cup_f S(f)$  and  $S \cup_g S(g)$  are equivalent compactifications.

*Proof:* We are given two continuous functions,  $f : S \rightarrow K$  and  $g : S \rightarrow K$ , mapping  $S$  into the compact space  $K$ .

Suppose  $f$  and  $g$  are homeomorphically related singular maps which, respectively, induce the singular compactifications,

$$\begin{aligned}\alpha S &= S \cup_f S(f) \\ \gamma S &= S \cup_g S(g)\end{aligned}$$

where  $S(f) = S(g)$ . By definition, there exists a homeomorphism  $h : S(g) \rightarrow S(f)$  such that  $h(g(x)) = f(x)$ .

See that  $g : S \rightarrow S(g)$  extends continuously to  $g^\gamma : S \cup_g S(g) \rightarrow S(g)$  where  $g^\gamma$  is the identity map when restricted to  $S(g)$ . Then  $h \circ g : S \rightarrow S(f)$  extends to

$$(h \circ g)^\gamma : S \cup_g S(g) \rightarrow S(f)$$

where  $(h \circ g^\gamma)|_{S(g)}(x) = h(x)$ . Since  $(h \circ g)(x) = f(x)$  on  $S$ ,  $f$  extends to  $(h \circ g)^\gamma = f^\gamma$  where

$$f^\gamma : S \cup_g S(g) \rightarrow S(f)$$

and  $f^\gamma = h$  on  $S(g)$ . So  $f^\gamma$  separates points of  $S(g)$ .

By Theorem 22.9,  $S \cup_f S(f)$  and  $S \cup_g S(g)$  are equivalent, as required.

We can now present an example where this particular concept plays a key role.

*Example 9.* The two compactifications  $\mathbb{R} \cup_{\sin^2} S(\sin^2)$  and  $\mathbb{R} \cup_{\cos^2} S(\cos^2)$  are easily seen to be singular compactifications with outgrowth  $[0, 1]$ . Show that they are equivalent compactifications.

*Solution:* Consider the function  $h(x) = 1 - x$  mapping  $[0, 1]$  onto  $[0, 1]$ . It is a one-to-one continuous function. Also, note that

$$h(\sin^2(x)) = 1 - \sin^2(x) = (1 - \sin^2)(x) = \cos^2(x)$$

on  $[0, 1]$ . Then  $\sin^2$  and  $\cos^2$  are homeomorphically related. By the above theorem  $\mathbb{R} \cup_{\sin^2} S(\sin^2)$  and  $\mathbb{R} \cup_{\cos^2} S(\cos^2)$  are equivalent compactifications. This is what we were required to show.

There can be various ways of showing that ...

*... the compactification  $\beta\mathbb{N}$  is not a singular compactification.*

In the next example we propose one method.

*Example 10.* Show that  $\beta\mathbb{N}$  cannot be a singular compactification.

*Solution:* Suppose  $\beta\mathbb{N}$  is a singular compactification, Then there is a retraction function  $r : \beta\mathbb{N} \rightarrow \beta\mathbb{N} \setminus \mathbb{N}$  which maps  $\beta\mathbb{N}$  onto  $\beta\mathbb{N} \setminus \mathbb{N}$ . We know that  $\beta\mathbb{N}$  is separable, but on page 508, we showed that  $\beta\mathbb{N} \setminus \mathbb{N}$

is not a separable space. By Theorem 6.11 we know that continuous images of separable spaces are separable. So  $\beta\mathbb{N} \setminus \mathbb{N}$  cannot be the continuous image of  $\beta\mathbb{N}$ . So  $\beta\mathbb{N}$  is not a singular compactification.

**Theorem 22.11** The Stone-Ćech compactification  $\beta\mathbb{R}$  contains an uncountable family of pairwise disjoint copies of  $\beta\mathbb{N}$  whose union is dense in  $\beta\mathbb{R}$ .

*Proof:* We have seen that

$$\alpha\mathbb{R} = \mathbb{R} \cup_{\sin} S(\sin) = \mathbb{R} \cup_{\sin} [-1, 1]$$

is a singular compactification of  $\mathbb{R}$  generated by the singular function,  $\sin : \mathbb{R} \rightarrow [-1, 1]$  (see the example on page 532). Then  $\alpha\mathbb{R} \setminus \mathbb{R}$  is a retract of  $\alpha\mathbb{R}$ , where  $\sin^\alpha|_{\alpha\mathbb{R} \setminus \mathbb{R}}(x) = x$  on the outgrowth  $[-1, 1]$ .

Note that, for each  $x \in [-1, 1]$ , the set  $\sin^\leftarrow(x)$  is a countably infinite discrete closed subspace of  $\mathbb{R}$  (since it contains none of its limit points). Since  $\sin^\leftarrow(x)$  is unbounded in  $\mathbb{R}$ ,  $\sin^\leftarrow(x)$  is not compact. Then, for each  $x \in [-1, 1]$ , the subspace,  $\sin^\leftarrow(x)$ , can be viewed as a homeomorphic copy of  $\mathbb{N}$  contained in  $\mathbb{R}$ .

See that  $\text{cl}_{\alpha\mathbb{R}} \sin^\leftarrow(x)$  intersects  $\alpha\mathbb{R} \setminus \mathbb{R}$ .

If  $a \in [-1, 1]$ ,  $\sin^{\alpha\leftarrow}(a) = \{a\} \cup \sin^\leftarrow(a)$  is compact in  $\alpha\mathbb{R}$  and so contains  $\text{cl}_{\alpha\mathbb{R}} \sin^\leftarrow(a)$ . Then

$$\text{cl}_{\alpha\mathbb{R}} \sin^\leftarrow(a) = \{a\} \cup \sin^\leftarrow(a)$$

Since  $\mathbb{R}$  is metrizable, every  $\mathbb{R}$ -closed subset of the form  $\sin^\leftarrow(a)$  is  $C^*$ -embedded (see the example on page 485). Then, by Theorem 21.21,

$$\text{cl}_{\beta\mathbb{R}} \sin^\leftarrow(a) = \beta[\sin^\leftarrow(a)]$$

See that,

$$\begin{aligned} \pi_{\beta \rightarrow \alpha}[\beta[\sin^\leftarrow(a)]] &= \pi_{\beta \rightarrow \alpha}[\text{cl}_{\beta\mathbb{R}} \sin^\leftarrow(a)] \\ &= \text{cl}_{\alpha\mathbb{R}} \pi_{\beta \rightarrow \alpha}[\sin^\leftarrow(a)] \\ &= \text{cl}_{\alpha\mathbb{R}} \sin^\leftarrow(a) \\ &= \{a\} \cup \sin^\leftarrow(a) \end{aligned}$$

So for each  $x \in [-1, 1]$ ,

$$\beta[\sin^\leftarrow(x)] \subseteq \pi_{\beta \rightarrow \alpha}^{\leftarrow}[\{x\} \cup \sin^\leftarrow(x)]$$

Since  $\{\pi_{\beta \rightarrow \alpha}^{-1}[\{x\} \cup \sin^{-1}(x)] : x \in [-1, 1]\}$  partitions  $\beta\mathbb{R}$ , then the elements of the family

$$\mathcal{F} = \{\beta[\sin^{-1}(x)] : x \in [-1, 1]\}$$

are pairwise disjoint.

Since,

$$\begin{aligned} \mathbb{R} &\subseteq \cup\{\sin^{-1}(x) : x \in [-1, 1]\} \\ &\subseteq \cup\{\text{cl}_{\beta\mathbb{R}} \sin^{-1}(x) : x \in [-1, 1]\} \end{aligned}$$

then  $\mathbb{R} \subseteq \cup\mathcal{F}$ . Hence  $\cup\mathcal{F}$  is dense in  $\beta\mathbb{R}$ .

We conclude that  $\beta\mathbb{R}$  contains the union of an uncountable family of pairwise disjoint copies of  $\beta\mathbb{N}$  which is dense in  $\beta\mathbb{R}$ , as required.

**Corollary 22.12** The subset  $\beta\mathbb{R} \setminus \mathbb{R}$  of  $\beta\mathbb{R}$  contains an uncountable family of pairwise disjoint copies of  $\beta\mathbb{N}$ .

*Proof:* Let  $a \in [-1, 1]$ . We have shown that since the function  $\sin : \mathbb{R} \rightarrow [-1, 1]$  is singular it induces the singular compactification  $\alpha\mathbb{R} = \mathbb{R} \cup [-1, 1]$ . We then showed that  $\text{cl}_{\beta\mathbb{R}} \sin^{-1}(a)$  is equivalent to the compactification  $\beta[\sin^{-1}(a)]$ .

By Theorem 21.20, the cardinality of  $\beta[\sin^{-1}(a)] \setminus \sin^{-1}(a)$  is  $2^c$ .

Let  $x_1$  and  $x_2$  be distinct points in  $\beta[\sin^{-1}(a)] \setminus \sin^{-1}(a)$ . Then there exists disjoint open neighborhoods  $B_1$  and  $B_2$  which separate  $x_1$  and  $x_2$  (since  $\beta[\sin^{-1}(a)] \setminus \sin^{-1}(a)$  is compact Hausdorff).

Suppose  $D_n = \{x_i : i = 1 \text{ to } n\}$  are  $n$  distinct points and  $x_{n+1}$  belongs to  $\beta[\sin^{-1}(a)] \setminus \sin^{-1}(a)$  but not in  $D_n$ . Since  $\beta[\sin^{-1}(a)] \setminus \sin^{-1}(a)$  is completely regular, there exist disjoint open neighborhoods of  $\{B_i : i = 1 \text{ to } n+1\}$  which separate the points of  $D_{n+1} = \{x_i : i = 1 \text{ to } n+1\}$ . We can then inductively construct the set  $D = \{x_i : i = 1, 2, 3, \dots\}$  for which we have a set  $\{B_i : i = 1, 2, 3, \dots\}$  of pairwise disjoint open neighborhoods in  $\beta[\sin^{-1}(a)] \setminus \sin^{-1}(a)$  which separate the points of  $D$ .

We have shown that  $\beta[\sin^{-1}(a)] \setminus \sin^{-1}(a)$  contains a countably infinite discrete space  $D$ . We claim that

$$\text{cl}_{\beta[\sin^{-1}(a)]} D = \beta D$$

in  $\beta[\sin^{-1}(a)] \setminus \sin^{-1}(a)$ . If so, then  $\beta[\sin^{-1}(a)] \setminus \sin^{-1}(a)$  contains a copy of  $\beta\mathbb{N}$ .

Proof of claim: To prove this, it suffices to show that  $D$  is  $C^*$ -embedded in  $\beta[\sin^{-1}(a)]$ . Let  $H = D \cup \sin^{-1}(a)$ , a subset of  $\beta[\sin^{-1}(a)]$ . The set  $H$  is regular and is easily seen to be Lindelöf; then  $H$  is normal (see Theorem 16.6). Since  $\sin^{-1}(a)$  is discrete in  $H$  none of its points are limit points of  $D$ . So  $D$  is closed in  $H$ . From Theorem 10.9 we obtain that  $D$  is  $C^*$ -embedded in  $H$ .

Suppose  $f \in C^*(D)$ . Then  $f$  extends to  $f^* \in C^*(H)$ . Then  $f^*|_{\sin^{-1}(a)}$  is continuous on  $\sin^{-1}(a)$ . Since  $\sin^{-1}(a)$  is  $C^*$ -embedded in  $\beta[\sin^{-1}(a)]$ , then  $f^*|_{\sin^{-1}(a)}$  extends to a function  $g \in C(\beta[\sin^{-1}(a)])$ . So  $f \in C^*(D)$  extends to  $g \in \beta[\sin^{-1}(a)]$ .

We then can conclude that  $\text{cl}_{\beta[\sin^{-1}(a)]} D = \beta D$  is a subset of the set  $\beta[\sin^{-1}(a)] \setminus \sin^{-1}(a)$ , as claimed.

Then, for each  $x \in [-1, 1]$ ,  $\beta[\sin^{-1}(x)] \setminus \sin^{-1}(x)$  contains a copy of  $\beta\mathbb{N}$ .

So  $\beta\mathbb{R} \setminus \mathbb{R}$  contains an uncountable family of pairwise disjoint copies of  $\beta\mathbb{N}$ .

In the following example we see how we can use a singular function to construct, from a rectangle, a cylindrical shell.

*Example 11.* Consider the non-compact subspace,  $S = [0, 2\pi] \times (0, 2\pi)$  of  $\mathbb{R}^2$  equipped with the usual topology (a rectangle with the top and bottom edges removed). Consider the real-valued function  $f : S \rightarrow [0, 2\pi]$  defined as  $f[\{x\} \times (0, 2\pi)] = \{x\}$  for each  $x \in [0, 2\pi]$ . For example,  $f(0, y) = 0$ ,  $f(\pi, y) = \pi$ ,  $f(1, y) = 1$ , for each  $y \in (0, 2\pi)$ . Verify that the function  $f$  is a singular function, hence  $f$  induces a singular compactification. Also, show that the singular compactification of  $S$ , induced by  $f$ , can be described (topologically speaking) as a closed and bounded cylindrical shell with radius 1 and height  $2\pi$ .

*Solution:* We are given that  $f : S \rightarrow [0, 2\pi]$  is defined as  $f(x, y) = x$ . For  $u \in [0, 2\pi]$  and  $B_\varepsilon(u) = \{x \in [0, 2\pi] : |x - u| < \varepsilon\}$  and  $f^{-1}[u] = \{u\} \times (0, 2\pi)$ . Since  $f^{-1}[B_\varepsilon(u)] = B_\varepsilon(u) \times (0, 2\pi)$ ,  $f$  is open in  $S$  and so  $f$  is continuous on  $S$ . See that, for all  $x \in [0, 2\pi]$ ,

$$\text{cl}_S f^{-1}[B_\varepsilon(x)] = \text{cl}_{\mathbb{R}} B_\varepsilon(x) \times (0, 2\pi)$$

is not compact in  $S$  so, by definition,  $S(f) = \text{cl}_{\mathbb{R}} f[S] = [0, 2\pi]$ . Since  $f[S]$  is a subset of  $S(f) = [0, 2\pi]$ , then  $f$  is a singular map and so  $S \cup_f [0, 2\pi]$  is a singular compactification of  $S$ .

We now describe the compactification  $S \cup_f [0, 2\pi]$ . Let's consider the point  $x$  in  $S(f)$  viewed as an element of the compactification  $S \cup_f S(f)$ . Let  $F_\delta$  represent the subset of  $S$  of the form

$$F_\delta = [0, 2\pi] \times [\delta, 2\pi - \delta]$$

See that  $F_\delta$  is a compact subset of  $S$ . If  $x \in S(f) = [0, 2\pi]$  an open neighborhood base element of  $x$  in  $S \cup_f S(f)$  would look something like this

$$B_\varepsilon(x) \cup f^{-}[B_\varepsilon(x)] \setminus F_\delta$$

where  $f^{-}[B_\varepsilon(u)] = (u - \varepsilon, u + \varepsilon) \times (0, 2\pi)$ .

Let  $u \in S(f) = [0, 2\pi]$ . Then,

$$\mathcal{B}_u = \{B_{1/n}(u) \cup f^{-}[B_{1/n}(u)] \setminus [0, 2\pi] \times [\frac{1}{n}, 2\pi - \frac{1}{n}] : n = 1, 2, 3, \dots\}$$

forms an open neighborhood base of  $u$  in  $S \cup_f S(f)$ .

See that

$$\bigcap_{n \in \mathbb{N} \setminus \{0\}} \mathcal{B}_u = \{u\}$$

So  $S(f) = [0, 2\pi]$  appears as the “edge” which provides the material necessary to seal together the bottom and the top edges of the rectangle  $[0, 2\pi] \times (0, 2\pi)$  to form a cylindrical shell.

*Example 12.* Consider the non-compact subspace,  $S = [0, 2\pi] \times (0, 2\pi)$  of  $\mathbb{R}^2$  equipped with the usual topology. Consider the function  $g : S \rightarrow [0, 2\pi]$  defined as

$$g[\{x\} \times (0, 2\pi)] = \{2\pi - x\}$$

Verify that that  $S \cup_g S(g)$  (where  $S(g) = [0, 2\pi]$ ) is a singular compactification.

Also, if  $f : S \rightarrow [0, 2\pi]$  is the function as defined in the Example 9 above, show that  $S \cup_f S(f)$  and  $S \cup_g S(g)$  are not equivalent compactifications (in spite of the fact that  $S(f) = S(g) = [0, 2\pi]$ ).

*Solution:* We are given that  $S = [0, 2\pi] \times (0, 2\pi)$  and the function  $g : S \rightarrow [0, 2\pi]$  is defined as  $g(x, y) = 2\pi - x$ . Also,  $g[S] = [0, 2\pi]$ . Since  $g^{-}[[0, 2\pi]] = [0, 2\pi] \times (0, 2\pi)$  is not compact then  $g$  is a singular map and induces a singular compactification  $S \cup_g [0, 2\pi]$ . If  $u \in [0, 2\pi]$ ,  $g^{-}[u] = \{2\pi - u\} \times (0, 2\pi)$ .

For  $u \in S(g) = [0, 2\pi]$ , an open neighborhood base element of  $u$  in  $S \cup_g S(g)$  would look something like this

$$(u - \varepsilon, u + \varepsilon) \cup g^{-}[(u - \varepsilon, u + \varepsilon)] \setminus F_\delta$$

where

$$F_\delta = [0, 2\pi] \times [\delta, 2\pi - \delta]$$

and  $g^\leftarrow[(u - \varepsilon, u + \varepsilon)] = (2\pi - (u - \varepsilon), 2\pi - (u + \varepsilon)) \times (0, 2\pi)$ .

Let  $u \in S(g) = [0, 2\pi]$ . Then,

$$\mathcal{B}_u = \{B_{1/n}(u) \cup g^\leftarrow[B_{1/n}(u)] \setminus [0, 2\pi] \times [\frac{1}{n}, 2\pi - \frac{1}{n}] : n = 1, 2, 3, \dots\}$$

forms an open neighborhood base of  $u$  in  $S \cup_g S(g)$ .

See that

$$\bigcap_{n \in \mathbb{N} \setminus \{0\}} \mathcal{B}_u = \{u\}$$

Let  $\gamma S = S \cup_f S(f)$  and  $\alpha S = S \cup_g S(g)$ .

We claim that: The compactifications,  $\gamma S$  and  $\alpha S$  are not equivalent compactifications.

Proof of claim: Suppose  $\gamma S \equiv \alpha S$ . Then there exists a homeomorphism  $\pi_{\gamma \rightarrow \alpha} : \gamma S \rightarrow \alpha S$  which fixes the points of  $S$ . Then  $\pi_{\gamma \rightarrow \alpha}|_{\gamma S \setminus S}$  maps  $[0, 2\pi]$  homeomorphically onto  $[0, 2\pi]$  and so is monotone. Suppose without loss of generality that it is increasing and so maps 0 to 0 and  $2\pi$  to  $2\pi$ .

Consider the open ball  $B = B_\varepsilon(2\pi) = (2\pi - \varepsilon, 2\pi]$  in  $[0, 2\pi]$ . Let

$$D = \pi_{\gamma \rightarrow \alpha}[B] = (2\pi - \varepsilon, 2\pi]$$

an open subset of  $S(g)$ . Then

$$\begin{aligned} f^\leftarrow[B] &= (2\pi - \varepsilon, 2\pi] \times (0, 2\pi) \\ g^\leftarrow[D] &= [0, \varepsilon) \times (0, 2\pi) \end{aligned}$$

We can of course choose  $\varepsilon$  so that  $f^\leftarrow[B] \cap g^\leftarrow[D] = \emptyset$ .

Recall that  $g : S \rightarrow S(g)$  extends to  $g^\alpha : \alpha S \rightarrow S(g)$  such that  $g^\alpha$  fixes the points of  $S(g)$ , so we have

$$\begin{aligned} g^{\alpha \leftarrow}[D] &= D \cup g^\leftarrow[D] \quad (\text{An open subset of } \alpha S) \\ \pi_{\gamma \rightarrow \alpha}[B \cup f^\leftarrow[B]] &= D \cup f^\leftarrow[B] \quad (\text{An open subset of } \alpha S) \end{aligned}$$

Then

$$\begin{aligned} (D \cup g^{\alpha \leftarrow}[D]) \cap (D \cup f^\leftarrow[B]) &= D \cup (g^{\alpha \leftarrow}[D] \cap f^\leftarrow[B]) \\ &= D \cup \emptyset \\ &= D \end{aligned}$$

so  $D$  is an open subset of  $\alpha S$  which is contained in  $\alpha S \setminus S$ . A contradiction! So  $\gamma S \not\cong \alpha S$ .<sup>6</sup>

*Example 13* Consider the non-compact subspace,

$$S = \{(\cos(x), \sin(x), z) : x \in [0, 2\pi], z \in (0, 1)\}$$

in  $\mathbb{R}^3$  equipped with the usual topology. The set  $S$  is a cylinder of radius 1 and height 1 (with the top and bottom removed). Consider the function  $f : S \rightarrow \mathbb{R}^2$  defined as

$$f(\cos(x), \sin(x), z) = (\cos(x), \sin(x))$$

for  $x \in [0, 2\pi]$ . Verify that the function  $f$  is a singular function and determine its singular set,  $S(f)$ . Describe the singular compactification,  $S \cup_f S(f)$ .

*Solution:* Let

$$D = \{(\cos(x), \sin(x)) : x \in [0, 2\pi]\}$$

We are given that  $f$  maps  $S$  onto  $D$ . For  $\varepsilon > 0$  and  $(u, v) \in D$ , let  $B_\varepsilon(u, v) = \{(a, b) \in D : \|(u - a, v - b)\|_2 < \varepsilon\}$ . Hence the set

$$f^{-1}[B_\varepsilon(u, v)] = \{(a, b, z) \in S : \|(u - a, v - b)\|_2 < \varepsilon\}$$

is open in  $S$ . So  $f$  is continuous on  $S$ .

We claim that  $f$  is a singular map and so induces the singular compactification,  $S \cup_f D$ .

Proof of claim: Let  $\overline{B_\varepsilon(u, v)} = \{(a, b) \in D : \|(u - a, v - b)\|_2 \leq \varepsilon\}$ . For any  $(u, v) \in D$  see that,

$$\text{cl}_S f^{-1}[B_\varepsilon(u, v)] = \overline{B_\varepsilon(u, v)} \times (0, 1)$$

is not compact in  $S$ . Then, by definition,  $S(f) = D$ . Since  $f[S] = D$  then  $f$  is a singular map and so  $S \cup_f D$  is a singular compactification of  $S$ . This establishes the claim.

We now describe  $S \cup_f S(f)$ .

Let  $(u, v) \in S(f) = D$ . Then the family of  $S \cup_f S(f)$  subsets,

$$\mathcal{B}_{(u,v)} = \{B_{1/n}(u, v) \cup f^{-1}[B_{1/n}(u, v)] \setminus [D \times [\frac{1}{n}, 1 - \frac{1}{n}]] : n = 1, 2, 3, \dots\}$$

<sup>6</sup>See that the compactification  $S \cup_g S(g)$  constructed in this way is a Möbius strip.

forms an open neighborhood base of  $(u, v)$  in  $S \cup_f S(f)$ .  
See that

$$\bigcap_{n \in \mathbb{N} \setminus \{0\}} \mathcal{B}_{(u,v)} = \{(u, v)\}$$

So  $S(f) = D$  appears as the “circle” which provides the material necessary to seal together the bottom and the top edges of the cylindrical shell of radius 1 and height 1,  $D \times (0, 1)$ , to form a “torus” (topologically speaking).

*Example 14* Let  $S = \mathbb{R}^2$  be equipped with the usual topology. Let  $p$  be a point not in  $S$  and  $f : S \rightarrow \{p\}$  be the function defined as  $f[S] = \{p\}$ . Describe the space  $S \cup^* S(f)$ . Also, describe a resulting open base for the point  $p$  in the compactification  $S \cup \{p\}$ . Provide a geometric interpretation of  $S \cup \{p\}$ .

*Solution:* We first note that  $S$  is non-compact, locally compact Hausdorff, and that the function  $f : S \rightarrow \{p\}$  is a continuous singular map, with  $S(f) = \{p\}$  (since  $\text{cl}_S f^{-1}\{p\} = \text{cl}_S S = S$  a non-compact set).

We describe an open neighborhood base for the point in the outgrowth of  $S \cup \{p\}$ . For each  $\delta > 0$ , let  $F_\delta = \{(x, y) \in S : \|(x, y)\|_2 \leq \delta\}$ . The set

$$\mathcal{B}_p = \{ \{p\} \cup S \setminus F_\delta : \delta > 0 \}$$

then represents a family of open neighborhoods of  $p$  in  $S \cup_f S(f)$ . Then,  $\bigcap_{\delta > 0} \mathcal{B}_p = \{p\}$ . So  $S \cup_f S(f) = \mathbb{R}^2 \cup_f \{p\}$  is a one-point compactification of  $\mathbb{R}^2$ .

The 2-sphere,

$$S^2 = \{(x, y, z) \in \mathbb{R}^3 : \|(x, y, z)\| = 1\}$$

discussed in Theorem 21.16 was also viewed as the one-point compactification of  $\mathbb{R}^2$ .

Since any two one-point compactifications were shown to be equivalent,  $\mathbb{R}^2 \cup_f \{p\}$  and the 2-sphere,  $S^2$ , are homeomorphic.

**Theorem 22.13** Suppose  $S \cup_g S(g)$  is a singular compactification. Let  $K = S(g)$  and  $\mathcal{K} = C(K)$ . Then  $S \cup_{e_{\mathcal{K} \circ g}} S(e_{\mathcal{K} \circ g})$  is a singular compactification. Furthermore,  $S \cup_{e_{\mathcal{K} \circ g}} S(e_{\mathcal{K} \circ g})$  and  $S \cup_g S(g)$  are equivalent compactifications.

*Proof:* We are given that  $S \cup_g S(g)$  is a singular compactification and that  $K = S(g)$ . Suppose  $\mathcal{K} = C(K)$ .

We are required to show that  $S \cup_{e_{\mathcal{K}} \circ g} S(e_{\mathcal{K}} \circ g)$  and  $S \cup_g S(g)$  are equivalent compactifications.

Since  $K = S(g)$  is compact Hausdorff it is completely regular. If  $\mathcal{K} = C(K)$ , the evaluation map

$$e_{\mathcal{K}} : S(g) \rightarrow T = \prod_{k \in \mathcal{K}} [a_k, b_k]$$

embeds  $S(g)$  into the product space  $T = \prod_{k \in \mathcal{K}} [a_k, b_k]$  where

$$\begin{aligned} e_{\mathcal{K}}[g[S]] &\subseteq e_{\mathcal{K}}[S(g)] \\ &= \langle k[S(g)] \rangle_{k \in \mathcal{K}} \\ &\subseteq \prod_{k \in \mathcal{K}} [a_k, b_k] \end{aligned}$$

We also have,

$$\begin{aligned} e_{\mathcal{K}}[g^{\alpha}[\alpha S]] &= \langle k[g^{\alpha}[\text{cl}_{\alpha S} S]] \rangle_{k \in \mathcal{K}} \\ &= \langle k[\text{cl}_K g[S]] \rangle_{k \in \mathcal{K}} \\ &= \langle \text{cl}_{\mathbb{R}} k[g[S]] \rangle_{k \in \mathcal{K}} \\ &= \text{cl}_T[e_{\mathcal{K}}[g[S]]] \\ &\subseteq T = \prod_{k \in \mathcal{K}} [a_k, b_k] \end{aligned}$$

So  $e_{\mathcal{K}}[g^{\alpha}[\alpha S]] = \text{cl}_T[e_{\mathcal{K}}[g[S]]]$ .

So  $e_{\mathcal{K}}[g[S]]$  is dense in  $e_{\mathcal{K}}[g^{\alpha}[\alpha S]]$ .

We claim that

$$S \cup_{e_{\mathcal{K}} \circ g} S(e_{\mathcal{K}} \circ g)$$

is a singular compactification of  $S$ . It suffices to show that  $\text{cl}_T[e_{\mathcal{K}}[g[S]]]$  is a singular set of  $e_{\mathcal{K}} \circ g$ . Suppose  $x \in \text{cl}_T[e_{\mathcal{K}}[g[S]]]$  and  $U$  is an open neighborhood of  $x$  in  $\text{cl}_T[e_{\mathcal{K}}[g[S]]]$ . Since  $e_{\mathcal{K}}$  maps  $S(g)$  homeomorphically into  $\text{cl}_T[e_{\mathcal{K}}[g[S]]]$ , then  $e_{\mathcal{K}}^{-1}[U]$  is open in  $S(g)$ , hence  $g^{-1}[e_{\mathcal{K}}^{-1}[U]]$  has non-compact closure in  $S$ . Then  $(e_{\mathcal{K}} \circ g)^{-1}[U]$  has non-compact closure in  $S$ . Then  $\text{cl}_T[e_{\mathcal{K}}[g[S]]] = S(e_{\mathcal{K}} \circ g)$ . We conclude that  $S \cup_{e_{\mathcal{K}} \circ g} S(e_{\mathcal{K}} \circ g)$  is a singular compactification.

This follows immediately from Theorem 22.10 that

$$S \cup_{e_{\mathcal{K}} \circ g} S(e_{\mathcal{K}} \circ g) \equiv S \cup_g S(g)$$


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## 22.6 What kind of space has only singular compactifications?

We have already shown a compactification “less than” a singular compactification must be singular. So, if  $\beta S$  is a singular compactification of  $S$ , then all compactifications of  $S$  are singular. We wonder what class of topological spaces satisfies this property?

**Theorem 22.14** Let  $S$  be locally compact, non-compact and Hausdorff. If  $\beta S$  is a singular compactification, then  $S$  is pseudocompact.<sup>7</sup>

*Proof:* The proof of the statement is deferred to the end of the next section in Theorem 23.9.

We know of one topological space  $S$  for which  $\beta S$  is a singular compactification. We have shown that if  $S = [0, \omega_1)$  then  $\beta S = [0, \omega_1] = \omega S$ . Inspired by this particular example we exhibit a topological space  $S$  whose,  $\beta S$ , is singular but not equivalent to  $\omega S$ .

**Theorem 22.15** Let  $T$  be a compact Hausdorff space of cardinality  $\kappa$  and suppose  $\omega_n$  is a limit ordinal (where  $n \in \mathbb{N} \setminus \{0\}$ ) such that  $\omega_n > \kappa$  (so that any set of cardinality  $\kappa$  cannot be cofinal in  $\omega_n$ ). Let  $S = \omega_n \times T = [0, \omega_n) \times T$ . Then  $\beta S$  is a singular compactification.

*Proof:* Let

$$K = [0, \omega_n] \times T = [0, \omega_n) \cup \{\omega_n\} \times T = \omega_n + 1 \times T$$

which densely contains the set,  $S = [0, \omega_n) \times T$  and the column  $\{\omega_n\} \times T$  (the right edge of  $K$ ). The space  $K$  has been shown to be equivalent to  $\beta S$  in Theorem 21.27 where  $S$  is also seen to be pseudocompact.

Consider the function  $f : K \rightarrow \{\omega_n\} \times T$ , defined as

$$f[[0, \omega_n] \times \{p\}] = (\omega_n, p)$$

<sup>7</sup>Note that the converse fails!

for  $p \in T$ . The function  $f$  can be viewed as the projection map on the compact product space  $[0, \omega_n] \times T$  which collapses the  $p^{th}$  row onto the point  $(\omega_n, p)$ . This function is continuous and can be seen as retract of  $K$  onto  $K \setminus S$ . So the Stone Čech compactification of the pseudo-compact space,  $S$ , is a singular compactification.

*Example 15.* Suppose  $\alpha\mathbb{R} = \mathbb{R} \cup \{-\infty, \infty\}$  denotes the two-point compactification of  $\mathbb{R}$ . Show that the function  $f : \mathbb{R} \rightarrow \alpha\mathbb{R}$  defined as

$$f(x) = x^2 \sin(x)$$

is a singular function on  $\mathbb{R}$ . Then describe  $\mathbb{R} \cup_f S(f)$

*Solution :* See that the function  $f(x) = x^2 \sin(x)$  with domain  $\mathbb{R}$  has as range  $f[\mathbb{R}] = \mathbb{R}$ , a dense subset of  $\alpha\mathbb{R}$ . So  $\text{cl}_{\alpha\mathbb{R}} f[\mathbb{R}] = \alpha\mathbb{R}$ .

Also, see that if  $U$  is an open neighborhood of a point  $x$  in  $\alpha\mathbb{R}$ , then  $U \cap (\mathbb{R} \cup \{-\infty, \infty\})$  contains a point  $y$  which belongs to  $\mathbb{R}$ . If  $z \in f^{-1}(y)$ ,  $z > 0$ ,  $f^{-1}(y) \cap \mathbb{R} \setminus (-z, z)$  is an unbounded subset of  $\mathbb{R}$  and so is not compact. So  $\text{cl}_{\mathbb{R}}[f^{-1}[U]]$  is not bounded in  $\mathbb{R}$  and hence is not compact. Then, by definition,  $f$  a singular function on  $\mathbb{R}$  which induces the compactification

$$\gamma\mathbb{R} = \mathbb{R} \cup_f S(f) = \mathbb{R} \cup_f (\mathbb{R} \cup \{-\infty, \infty\})$$

with outgrowth  $S(f) = \mathbb{R} \cup \{-\infty, \infty\}$ .<sup>8</sup>

The following example is inspired from the example above.

*Example 16.* Let

$$S = \{(x, y) \in \mathbb{R}^2 : -x^2 \leq y \leq x^2\}$$

viewed as region in  $\mathbb{R}^2$ , bounded above by  $x^2$  and below by  $-x^2$ . Let  $f : S \rightarrow \mathbb{R} \cup \{-\infty, \infty\}$  be defined as

$$f(x, y) = y$$

Then  $f[S]$  is a dense subset of the two-point compactification  $\mathbb{R} \cup \{-\infty, \infty\}$  of  $\mathbb{R}$ . Then for each  $y \in \mathbb{R}$ ,  $f^{-1}(y)$  is an unbounded subset

$$[\mathbb{R} \times \{y\}] \cap S$$

<sup>8</sup>Note that the function,  $f(x) = x^2 \sin(x)$ , also densely embeds  $\mathbb{R}$  into the compact space  $\beta\mathbb{R}$ . Hence, it also generates a singular compactification  $\gamma\mathbb{R} = \mathbb{R} \cup^* S(f)$  where  $S(f) = \beta\mathbb{R}$ .

of the domain  $S$ . Then, for any open neighborhood  $U$  of a point  $u$  in  $\mathbb{R} \cup \{-\infty, \infty\}$ , the subset  $\text{cl}_S f^{-1}[U]$  fails to be compact in  $S$ . Then  $f$  is a singular function on  $S$  which induces a singular compactification  $\alpha S = S \cup_f S(f)$  with  $\mathbb{R} \cup \{-\infty, \infty\}$  as outgrowth. The set  $\alpha S$  can then be viewed as the shell of a cylindrically shaped geometrical figure where the extreme right and extreme left edges are sealed together at  $\mathbb{R} \cup \{-\infty, \infty\}$ .

*Example 17.* For any  $a, b \in \mathbb{R}$ , the set,  $\mathbb{N}$ , of natural numbers has a singular compactification,  $\alpha\mathbb{N}$ , whose outgrowth,  $\alpha\mathbb{N} \setminus \mathbb{N}$ , is the set  $[a, b]$ . Hence every bounded closed interval is the continuous image of  $\beta\mathbb{N} \setminus \mathbb{N}$ .

To see this, simply note that, given that  $\mathbb{N}$  is discrete and that the set  $\mathbb{Q} \cap [a, b]$  is countable, there is a continuous function,  $f : \mathbb{N} \rightarrow [a, b]$ , which maps  $\mathbb{N}$  one-to-one and onto the set  $\mathbb{Q} \cap [a, b]$  of all rational number in  $[a, b]$ . Then  $f[\mathbb{N}] = \mathbb{Q} \cap [a, b]$ , a dense subset of  $[a, b]$ ; hence  $\text{cl}_{[a,b]} f[\mathbb{N}] = [a, b]$ .

If  $x \in [a, b]$  and  $U$  is an open open interval containing  $x$  in  $[a, b]$ , then  $x$  is the limit of an infinite sequence of distinct rationals. Then  $U$  contains infinitely many distinct elements of  $f[\mathbb{N}] = [a, b] \cap \mathbb{Q}$ . Then  $\text{cl}_{\mathbb{N}} f^{-1}[U]$  is an infinite subset of  $\mathbb{N}$ . The set  $\text{cl}_{\mathbb{N}} f^{-1}[U]$  must then be non-compact in  $\mathbb{N}$ . Then, by definition,  $[a, b] = S(f)$ .

Since  $\text{cl}_{\mathbb{N}} f[U] = S(f)$ ,

$$\alpha\mathbb{N} = \mathbb{N} \cup_f S(f)$$

is a singular compactification of  $\mathbb{N}$  with outgrowth  $S(f) = [a, b]$ , as claimed.

**Theorem 22.16** The set,  $\mathbb{N}$ , of natural numbers has a singular compactification,  $\alpha\mathbb{N}$ , whose outgrowth,  $\alpha\mathbb{N} \setminus \mathbb{N}$ , is the set  $\beta\mathbb{R}$ . Hence  $\beta\mathbb{R}$  is a continuous image of  $\beta\mathbb{N} \setminus \mathbb{N}$ .

*Proof:* Note that, given that  $\mathbb{N}$  is discrete and that the set  $\mathbb{Q}$  is countable, there is a continuous function,  $f : \mathbb{N} \rightarrow \beta\mathbb{R}$ , which maps  $\mathbb{N}$  one-to-one and onto the set,  $\mathbb{Q}$ , of all rational numbers, a dense subset of  $\mathbb{R}$ , hence a dense subset of  $\beta\mathbb{R}$ . Then  $\text{cl}_{\beta\mathbb{R}} f[\mathbb{N}] = \text{cl}_{\beta\mathbb{R}} \mathbb{Q} = \beta\mathbb{R}$ .

Let  $y \in \beta\mathbb{R}$  and  $U$  be any  $\beta\mathbb{R}$ -open neighborhood of  $y$ . Since  $\mathbb{Q}$  is dense in  $\beta\mathbb{R}$ , then  $U \cap \mathbb{Q}$  is an open non-empty subset of  $\mathbb{Q}$ . Then  $U \cap \mathbb{Q} = U \cap f[\mathbb{N}]$  contains an infinite sequence of distinct elements. Given that  $f$  is onto  $\mathbb{Q}$ ,  $\text{cl}_{\mathbb{N}} f^{-1}[U]$  is an infinite subset of  $\mathbb{N}$  and so

must be unbounded in  $\mathbb{N}$ , hence cannot be compact. By definition,  $y \in S(f)$ . This holds true independent of the choice of  $y$  in  $\beta\mathbb{R}$ . Then  $\beta\mathbb{R} = S(f)$  a singular set of the function  $f : \mathbb{N} \rightarrow \beta\mathbb{R}$  which densely contains  $f[\mathbb{N}] = \mathbb{Q}$ . So

$$\alpha\mathbb{N} = \mathbb{N} \cup_f S(f)$$

is a singular compactification of  $\mathbb{N}$  with outgrowth  $S(f) = \beta\mathbb{R}$ , as required.

The function  $f : \mathbb{N} \rightarrow \beta\mathbb{R}$  extends continuously to  $f^\alpha : \alpha\mathbb{N} \rightarrow \beta\mathbb{R}$  where  $f^\alpha|_{S(f)}$  fixes the points of  $S(f) = \beta\mathbb{R}$ .

We know that  $\alpha\mathbb{N} \preceq \beta\mathbb{N}$ . Then the function  $\pi_{\beta \rightarrow \alpha} : \beta\mathbb{N} \rightarrow \alpha\mathbb{N}$  maps the outgrowth  $\beta\mathbb{N} \setminus \mathbb{N}$  of  $\beta\mathbb{N}$  onto the outgrowth  $S(f) = \beta\mathbb{R}$  of  $\alpha\mathbb{N}$ .

As a result of the previous theorem, we can also say that  $\beta\mathbb{R}$  is a quotient space of  $\beta\mathbb{N} \setminus \mathbb{N}$  induced by the continuous map  $\pi_{\beta \rightarrow \alpha} : \beta\mathbb{N} \rightarrow \alpha\mathbb{N}$ .

*Remark:* Since the cardinality of  $\beta\mathbb{N} \setminus \mathbb{N}$  is  $2^c$  and  $\pi_{\beta \rightarrow \alpha}$  maps  $\beta\mathbb{N} \setminus \mathbb{N}$  onto  $\beta\mathbb{R}$  then this agrees with the arguments presented in the proof of Theorem 21.25 where it is shown that  $|\beta\mathbb{R}| = |\beta\mathbb{N}| = 2^c$ .

*Example 18.* Consider the continuous function  $\sin : \mathbb{N} \rightarrow [-1, 1]$ . We claim that

$$\mathbb{N} \cup_{\sin} S(\sin) = \mathbb{N} \cup_{\sin} [-1, 1]$$

is a singular compactification of  $\mathbb{N}$ .

To prove this it suffices to show two things:

- (a) That  $\sin[\mathbb{N}]$  is a dense subset of  $[-1, 1]$ .
- (b) Given that  $\sin[\mathbb{N}]$  is a dense subset of  $[-1, 1]$  then  $S(\sin) = [-1, 1]$ , the singular set of the function  $\sin : \mathbb{N} \rightarrow [-1, 1]$ .

The proof of part (a) showing that  $\sin[\mathbb{N}]$  is a dense subset of  $[-1, 1]$  is a bit technical. Certainly, it is no trivial matter, not a fact that should be taken for granted. The proof is deferred to the Appendix.

Part (b): To show that  $S(\sin) = [-1, 1]$ , suppose  $x \in [-1, 1]$  and  $U$  is an open neighborhood (in  $[-1, 1]$ ) of  $x$ . Since  $\sin[\mathbb{N}]$  is dense in  $[-1, 1]$ ,  $U \cap \sin[\mathbb{N}] \neq \emptyset$ . Certainly, if  $F = U \cap \sin[\mathbb{N}]$ ,  $F$  cannot be finite, since, if it was,  $U \setminus F$  would be an open neighborhood of  $x$  which misses  $\sin[\mathbb{N}]$ . So  $F$  is infinite. Then  $\sin^\leftarrow[U] = \cup\{\sin^\leftarrow(y) : y \in F\}$  is an infinite pairwise disjoint family of subsets of  $\mathbb{N}$ . This means that  $\sin^\leftarrow[U]$  is unbounded in  $\mathbb{N}$  and so  $\text{cl}_{\mathbb{N}}[\sin^\leftarrow[U]]$  cannot be compact.

Then  $x \in S(\sin)$ . We conclude that  $S(\sin) = [-1, 1]$ .

Then  $\sin[\mathbb{N}]$  is a dense subset of  $S(\sin) = [-1, 1]$ . We conclude that  $\mathbb{N} \cup_{\sin} S(\sin) = \mathbb{N} \cup_{\sin} [-1, 1]$  is a singular compactification of  $\mathbb{N}$ .

*Example 19.* Let  $S = (-\pi/2, \pi/2)$  (equipped with the usual topology). Construct a compactification  $\alpha S$  of  $S$  whose outgrowth is a homeomorphic copy of  $[-1, 1]$ .

*Solution.* Let  $f : (-\pi/2, \pi/2) \rightarrow \mathbb{R}$  be defined as,

$$f = \sin \circ \tan$$

Then

$$\begin{aligned} f[(-\pi/2, \pi/2)] &= \sin[\tan[(-\pi/2, \pi/2)]] \\ &= \sin[\mathbb{R}] \\ &= [-1, 1] \end{aligned}$$

Let  $x \in (-1, 1)$ . Then

$$\begin{aligned} f^{\leftarrow}(x) &= (\sin \circ \tan)^{\leftarrow}(x) \\ &= \arctan[\sin^{\leftarrow}(x)] \end{aligned}$$

where  $\sin^{\leftarrow}(x)$  is an unbounded infinite closed subset of  $\mathbb{R}$ . Then  $\arctan[\sin^{\leftarrow}(x)]$  is an infinite closed subset of  $(-\pi/2, \pi/2)$ . If  $y \in [-\pi/2, \pi/2]$  and  $U$  is an open neighborhood of  $y$  then  $\text{cl}_{(-\pi/2, \pi/2)} f^{\leftarrow}[U]$  is not compact in  $(-\pi/2, \pi/2)$ .

We can then conclude that the function,  $\sin \circ \tan : (-\pi/2, \pi/2) \rightarrow [-1, 1]$ , is a singular map with singular set  $S(f) = [-1, 1]$ . It induces a singular compactification of  $(-\pi/2, \pi/2)$ ,

$$\alpha(-\pi/2, \pi/2) = (-\pi/2, \pi/2) \cup_f S(\sin \circ \tan)$$

So  $\alpha S = \alpha(-\pi/2, \pi/2)$  is a compact space such that  $\text{cl}_{\alpha S} S \setminus S$  is homeomorphic to  $[-1, 1]$ .

### Concepts review.

1. Given a continuous function  $f : S \rightarrow T$  from the completely regular set  $S$  into the compact set  $T$ , define the singular set  $S(f)$ .

2. Given a continuous function  $f : S \rightarrow T$  from the completely regular set  $S$  into the compact set  $T$ , what does it mean to say that  $f$  is a singular map?
  3. Given a continuous function  $f : S \rightarrow T$  from the completely regular set  $S$  into the compact set  $T$ , define a compactification induced by  $f$ .
  4. What does it mean to say that a subset  $T$  of a set  $S$  is a *retract* of  $S$ ?
  5. Given a continuous function  $f : S \rightarrow T$  from the completely regular set  $S$  into the compact set  $T$ , define a singular compactification induced by  $f$ .
  6. Describe the topology of on a non-singular compactification  $S \cup^* S(f)$ .
  7. Show that  $\arctan: \mathbb{R} \rightarrow [-\pi/2, \pi/2]$  is not a singular map. Find a compactification of  $\mathbb{R}$  induced by  $\arctan$ .
  8. Given a continuous function  $f : S \rightarrow K$  mapping a locally compact completely regular set  $S$  into a compact set  $K$  and its extension  $f^{\beta(K)} : \beta S \rightarrow K$  express the singular set  $S(f)$  in terms of  $f^{\beta(K)}$ .
  9. Given a non-singular compactification  $\alpha S = S \cup^* S(g)$  in what way does the function  $g : S \rightarrow K$  extend to  $g^\alpha : \alpha S \rightarrow K$ .
  10. Produce an example of a pair of singular compactifications with the same singular sets but which are not equivalent.
  11. Produce an example of a Stone-Ćech compactification which is not singular.
  12. State one way of recognizing a pair of singular compactifications which are equivalent.
  13. Justify the statement " $\mathbb{R} \cup_{\sin} S(\sin)$  is a singular compactification of  $\mathbb{R}$ . Describe its outgrowth.
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